

# Improving Distortion via Queries

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TUC, February 2021

# Part I

2

## Improving **Distortion** via Queries

# How we decide?

3

- Suppose that a set of people will **vote** for the **winner** in a hypothetical battle

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- The contenders are:

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# Intensity of preferences

7



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# Intensity of preferences

8



- Member 1: Close but I was always afraid of bats, so I will go for Batman
- Member 2: I have not read the comics but I saw BvS and Batman won the fight, although it was close. So, I suppose Batman wins



# Intensity of preferences

9



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- ...
- Member  $n/2+1$ : It is close if you consider that Superman is weak to kryptonite. I will vote for Batman

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- ...
- Member  $n/2+1$ : It is close if you consider that Superman is weak to kryptonite. I will vote for Batman
- Member  $n/2+2$ : Come on people are you serious? Superman wins!!

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- Member  $n/2+1$ : It is close if you consider that Superman is weak to kryptonite. I will vote for Batman
- Member  $n/2+2$ : Come on people are you serious? Superman wins!!

...

- Member  $n$ : This is not even a contest... Superman would destroy him

# Intensity of preferences

12



- Batman is the **winner** according to the **majority**

# Intensity of preferences

13



- Batman is the **winner** according to the **majority**
- However, the outcome may would have been **different** if we had **information** about the **intensity** of the **preferences**

# The setting

14

- A set of  $n$  agents  $N$  and a set of  $m$  alternatives  $A$
- Each agent  $i \in N$  has a value  $v_{ix}$  for every alternative  $x \in A$  (cardinal preferences)
  - Captures how intense a preference is

# The setting

15

- The agents **submit** a **preference ranking** over the alternatives that is consistent to their values (**ordinal preferences**)
- An **ordinal** mechanism takes these rankings as an **input**
  - **Outputs** a **single** alternative as the winner

# Utilitarian Social Choice

16

- **Objective:** **Maximize** the **social welfare**, i.e., select the alternative  $x$  that maximizes

$$\sum_{i \in N} v_{ix}$$



# Utilitarian Social Choice

17

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- Expresses how the **society feels** about the produced outcome

# Utilitarian Social Choice

18

- Objective: **Maximize** the **social welfare**, i.e., select the alternative  $x$  that maximizes

$$\sum_{i \in N} v_{ix}$$

- This is easy to achieve when the **cardinal preferences** are known

# Utilitarian Social Choice

19

- Objective: **Maximize** the **social welfare**, i.e., select the alternative  $x$  that maximizes

$$\sum_{i \in N} v_{ix}$$

- It may not be possible when **only** the **ordinal preferences** are known, due to the lack of information

# Distortion

- The distortion of an *ordinal* mechanism  $M$  is the maximum ratio (over all possible inputs) of the maximum possible social welfare, over the social welfare achieved by the mechanism
  - Defined by Procaccia and Rosenschein [2006]

# Distortion

- The distortion of an *ordinal* mechanism  $M$  is the maximum ratio (over all possible inputs) of the maximum possible social welfare, over the social welfare achieved by the mechanism
  - ▣ Defined by Procaccia and Rosenschein [2006]
- Expresses the **guarantees** of the mechanism in the **worst-case** scenario

# Distortion

- **Remark 1:** A mechanism that has access to the **cardinal information** can obviously achieve a distortion of 1

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  - ▣ The distortion captures **how good-bad is this alternative** in comparison with the optimal one

# Distortion

- **Remark 1:** A mechanism that has access to the **cardinal information** can obviously achieve a distortion of 1
- **Remark 2:** A mechanism that has access only to the **ordinal information** may elect an alternative that is **different** from the optimal
  - ▣ The distortion captures **how good-bad is this alternative** in comparison with the optimal one
- **Remark 3:** The distortion is **usually expressed** as a function of  $m$  (the number of alternatives)



# What we know?

25

- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms

# What we know?

26

- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms
  - ▣ There is randomness on how the mechanism elects the winner
  - ▣ The guarantees of the mechanism are in expectation

# What we know?

27

- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms
  - ▣ Unit-Sum Assumption: The values of an agent over the alternatives sum up to 1

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- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms
  - ▣ Unit-Sum Assumption: The values of an agent over the alternatives sum up to 1
    - An agent assigns to each alternative a percentage that expresses how much he likes him
    - Without any normalization assumption the distortion can be arbitrarily bad

# What we know?

29

- Ordinal Deterministic Mechanisms
  - ▣ The distortion of *Plurality* for **unit-sum** valuations is  $O(m^2)$  [Caragiannis and Procaccia 2011]
  - ▣ The distortion of *any* deterministic ordinal mechanism for **unit-sum** valuations is  $\Omega(m^2)$  [Caragiannis et al. 2017]

# What we know?

30

- Ordinal Randomized Mechanisms
  - ▣ There is an ordinal randomized mechanism with  $O(\sqrt{m} \cdot \log^* m)$  distortion for **unit-sum** valuations [Boutilier et al. 2015]
  - ▣ The distortion of *any* randomized ordinal mechanism for **unit-sum** valuations is  $\Omega(\sqrt{m})$  [Boutilier et al. 2015]

# What we know?

31

- Most of the work on distortion regards **ordinal mechanisms**

Ordinal  
Preferences

Cardinal  
Values



Deterministic:  $O(m^2)$

Randomized:  $O(\sqrt{m} \cdot \log^* m)$

Distortion=1

# Question

32

- How can we improve the distortion?

Ordinal  
Preferences

Cardinal  
Values



Deterministic:  $O(m^2)$

Randomized:  $O(\sqrt{m} \cdot \log^* m)$

Distortion=1



# Part II

33

## **Improving** Distortion **via** **Queries**

# An idea

34

- What if we could **elicit** some **cardinal** information via simple **queries**?

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  - What is your **value** for alternative  $x$ ?

# An idea

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- What if we could **elicit** some **cardinal** information via simple **queries**?
  - ▣ What is your **value** for alternative  $x$ ?
  - ▣ Do you **prefer** alternative  $x$  by at least twice as much as alternative  $y$ ?

# Queries

37

- **Value Query**: Present agent  $i$  with an alternative  $x$ , and **ask** the agent for his value  $v_{ix}$

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38

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- **Comparison Query**: Present agent  $i$  with two alternatives  $x$  and  $y$ , and a number  $d$ , and **ask** the agent whether  $v_{ix} \geq d \cdot v_{iy}$ 
  - A **weaker** form of query
  - **Easier** for an agent to answer





# Mechanisms

41

Mechanism  $M = (Q, R)$

- Algorithm  $Q$ 
  - Input: the ordinal profile  $\succ$
  - Makes a set of (**value** or **comparison**) **queries** per agent
  - Output: the answers to the queries
- Modified voting rule  $R$

# Mechanisms

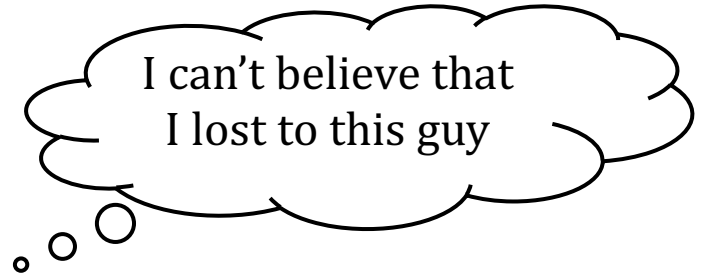
42

Mechanism  $M = (Q, R)$

- Algorithm  $Q$ 
  - Input: the ordinal profile  $\succ$
  - Makes a set of (value or comparison) queries per agent
  - Output: the answers to the queries
- Modified voting rule  $R$ 
  - Input: the ordinal profile  $\succ$ , and the answers to the queries  $Q(\succ)$
  - Output: a single alternative

# Improving distortion via queries

43



Ordinal  
Preferences

What lies in between?

Cardinal  
Values



Deterministic:  $O(m^2)$

Randomized:  $O(\sqrt{m} \cdot \log^* m)$

Distortion=1

# Improving distortion via queries

44

Let's try this again



Ordinal Preferences



Number of queries per agent

Cardinal Values



Deterministic:  $O(m^2)$

Randomized:  $O(\sqrt{m} \cdot \log^* m)$

Distortion=1

# Part III

45

## Improving Distortion via Queries

### Highlights of our Results

Amanatidis, [B.](#), Filos-Ratsikas, Voudouris [2020]

# Before we begin

46

- Every result holds **without** making any **normalization assumption** about the values of the agents
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- The focus will be on: Deterministic mechanisms
  - ▣  $O(\sqrt{m})$  distortion: Bound of the **randomized ordinal** mechanisms
  - ▣  $O(1)$  distortion: Provides a very **good** approximation of the **optimal** outcome

# Before we begin

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- Every result holds **without** making any **normalization assumption** about the values of the agents
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- The focus will be on: Deterministic mechanisms
  - ▣  $O(\sqrt{m})$  distortion: Bound of the **randomized ordinal** mechanisms
  - ▣  $O(1)$  distortion: Provides a very **good** approximation of the **optimal** outcome
- **Goal**: Reach these bounds with as **few** queries (per agent) as possible

# A Warm-Up

51

- If we have  $\lambda$  available queries per agent, what is the **best** way to spend them?

# A Warm-Up

52

- If we have  $\lambda$  available queries per agent, what is the **best** way to spend them?
- **A first idea:** There is a lot of value hidden under the  $\lambda$ -**best** alternatives of each agent
  - ▣ Since we have the ordering, we know who they are
  - ▣ Maybe we should focus there

# A Warm-Up

53

- Mechanism:  $\lambda$ -Prefix Range Voting ( $\lambda$ -PRV)

# A Warm-Up

54

- $\lambda$ -PRV
  - ▣ Ask every agent for the value that he has at the **best**  $\lambda$  positions

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55

- $\lambda$ -PRV
  - ▣ Ask every agent for the value that he has at the **best**  $\lambda$  positions
  - ▣ Set the rest of the values to **0**

# A Warm-Up

56

- $\lambda$ -PRV
  - ▣ Ask every agent for the value that he has at the **best**  $\lambda$  positions
  - ▣ Set the rest of the values to **0**
  - ▣ Choose the alternative that **maximizes** the social welfare, according to **these values**



# Performance?

57

- $\lambda$ -PRV
  - ▣ By asking  $\lambda$  queries per agent achieves an  $m/\lambda$  distortion

# Performance?

58

- $\lambda$ -PRV
  - ▣ By asking  $\lambda$  queries per agent achieves an  $m/\lambda$  distortion
- Achieves distortion  $O(\sqrt{m})$  using  $\Theta(\sqrt{m})$  queries per agent
- Achieves distortion  $O(1)$  using  $\Theta(m)$  queries per agent

# Can we do better?

59

- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?

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  - ▣ Yes!
- We will try to use the fact that the **ordinal** preferences are **known** in a more clever way

# Can we do better?

62

- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?
  - ▣ Yes!
- We will try to use the fact that the **ordinal** preferences are **known** in a more clever way
  - ▣ What about **Binary Search**?

# Binary Search

63

- Consider a set of  $m$  items the **value** of which is **hidden**

# Binary Search

64

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- Suppose however that the items are **sorted** in an increasing manner, and their **ordering is given**



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- **Input:** A number and the ordering of the items

# Binary Search

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- Suppose however that the items are **sorted** in an increasing manner, and their **ordering is given**
- **Input**: A number and the ordering of the items
- **Output**: The item with the closest value to the given number

# Binary Search

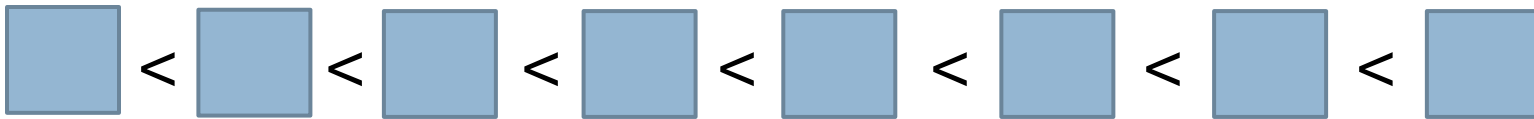
67

- Consider a set of  $m$  items the **value** of which is **hidden**
- Suppose however that the items are **sorted** in an increasing manner, and their **ordering is given**
- **Input**: A number and the ordering of the items
- **Output**: The item with the closest value to the given number
- **Allowed actions**: Ask what is the hidden value of an item

# The Naive Way

68

□ Number: 41





# The Naive Way

70

□ Number: 41

1 < 8 <  <  <  <  <  <

# The Naive Way

71

□ Number: 41

1 < 8 < 19 <  <  <  <  <

# The Naive Way

72

- Number: 41




$$1 < 8 < 19 < 37 < \square < \square < \square < \square$$



# The Naive Way

73




- Number: 41

1 < 8 < 19 < 37 < 43 <  <  < 

# The Naive Way

74

- Number: 41

1 < 8 < 19 < 37 < 43 <  <  < 

- We found the desired item (no need to check the rest)
  - ▣ However, in the worst-case scenario we will make  $m$  queries

# Binary Search

75

- Number: 41



- Can we solve the problem with **fewer** queries?

# Binary Search

76

- Number: 41

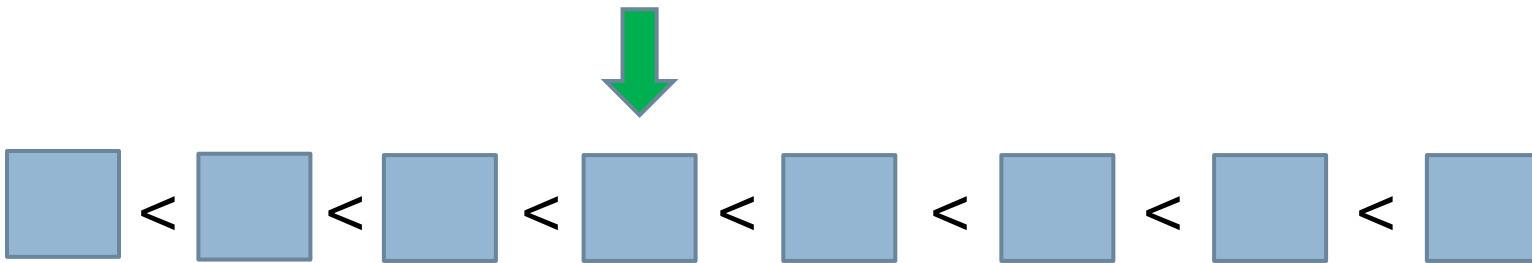


- Yes! Use the ordering in a more clever way!

# Binary Search

77

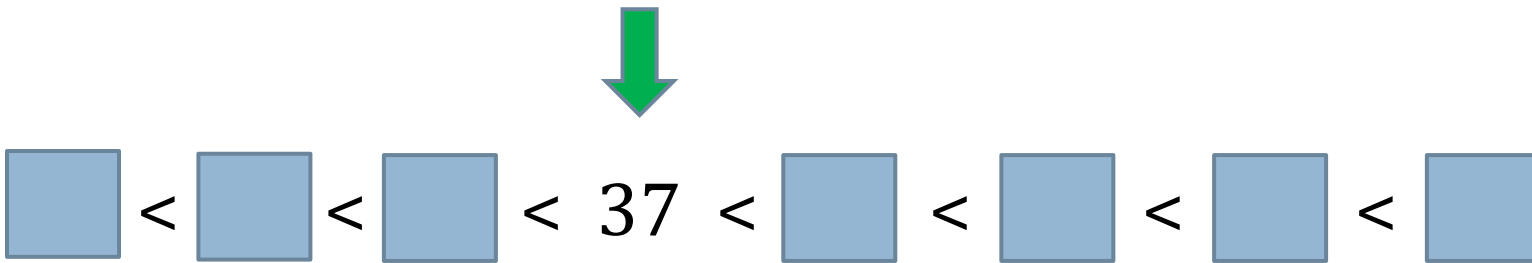
- Number: 41



# Binary Search

78

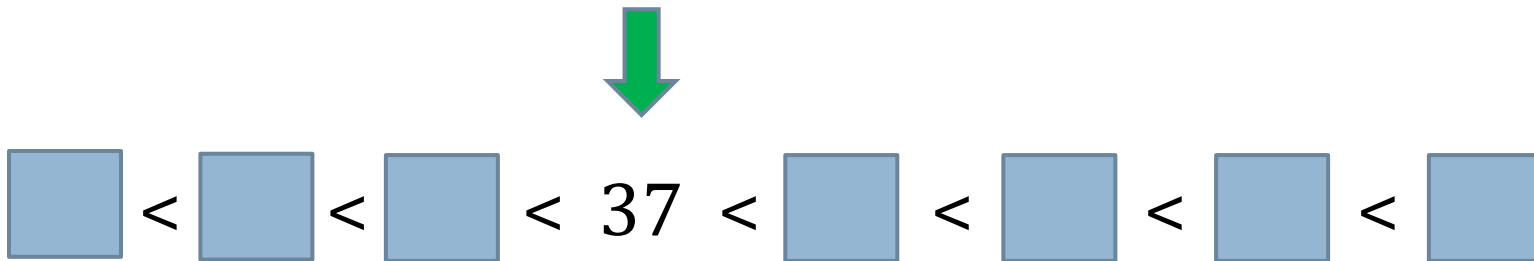
□ Number: 41



# Binary Search

79

- Number: 41

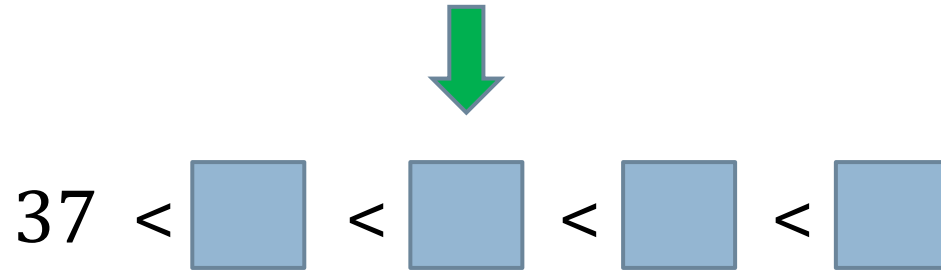


- The numbers on the left are smaller than 37, so there is no need to check them

# Binary Search

80

- Number: 41



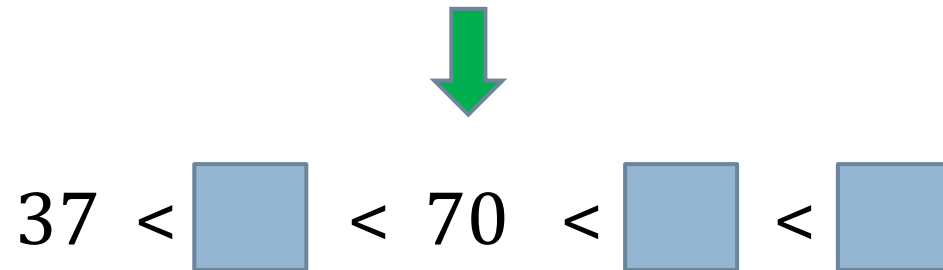
- Do the same recursively



# Binary Search

81

- Number: 41

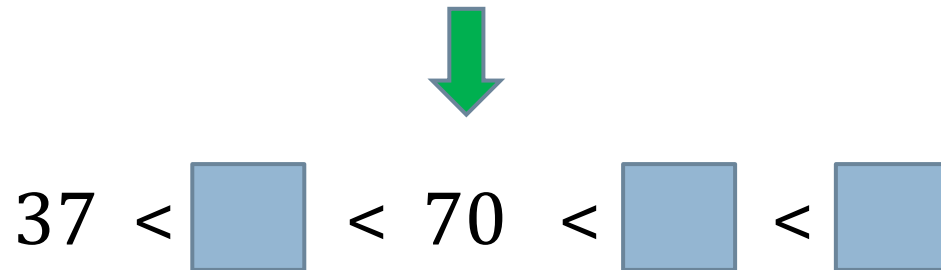


- Do the same recursively

# Binary Search

82

- Number: 41




- The numbers on the right are larger than 70, so there is no need to check them

# Binary Search

83

- Number: 41


$$37 < \square < 70$$

- Do the same recursively

# Binary Search

84

- Number: 41

$$37 < 43 < 70$$

- This procedure makes at most  $\log m$  queries!

# Can we do better?

85

- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?
  - Yes!
  - $k$ -Acceptance Range Voting ( $k$ -ARV): A mechanism that runs the **Binary Search** as a sub-routine

# $k$ -Acceptable Range Voting

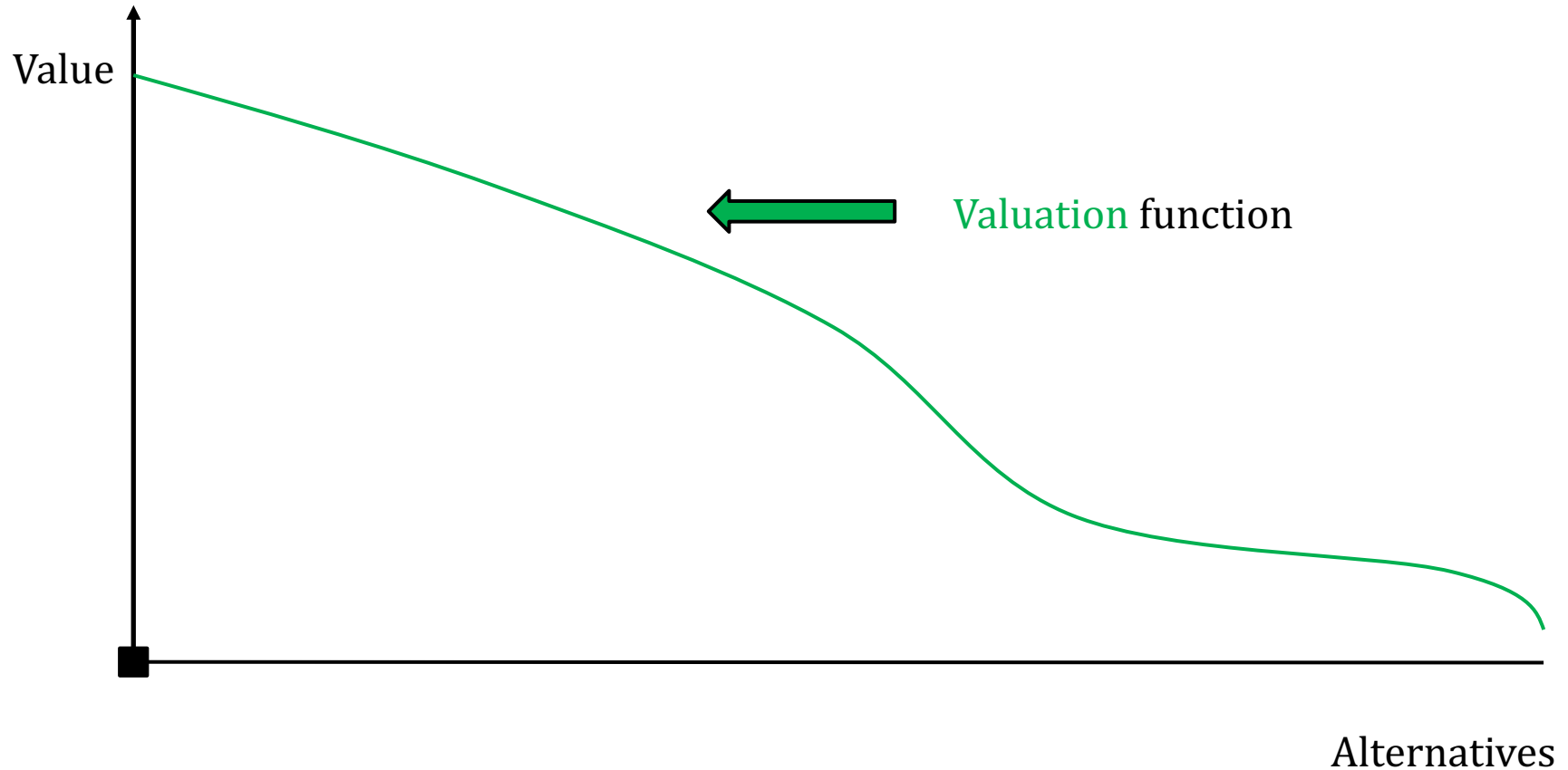
86

- Define  $k$  threshold values  $\lambda_1, \dots, \lambda_k$

# $k$ -Acceptable Range Voting

87

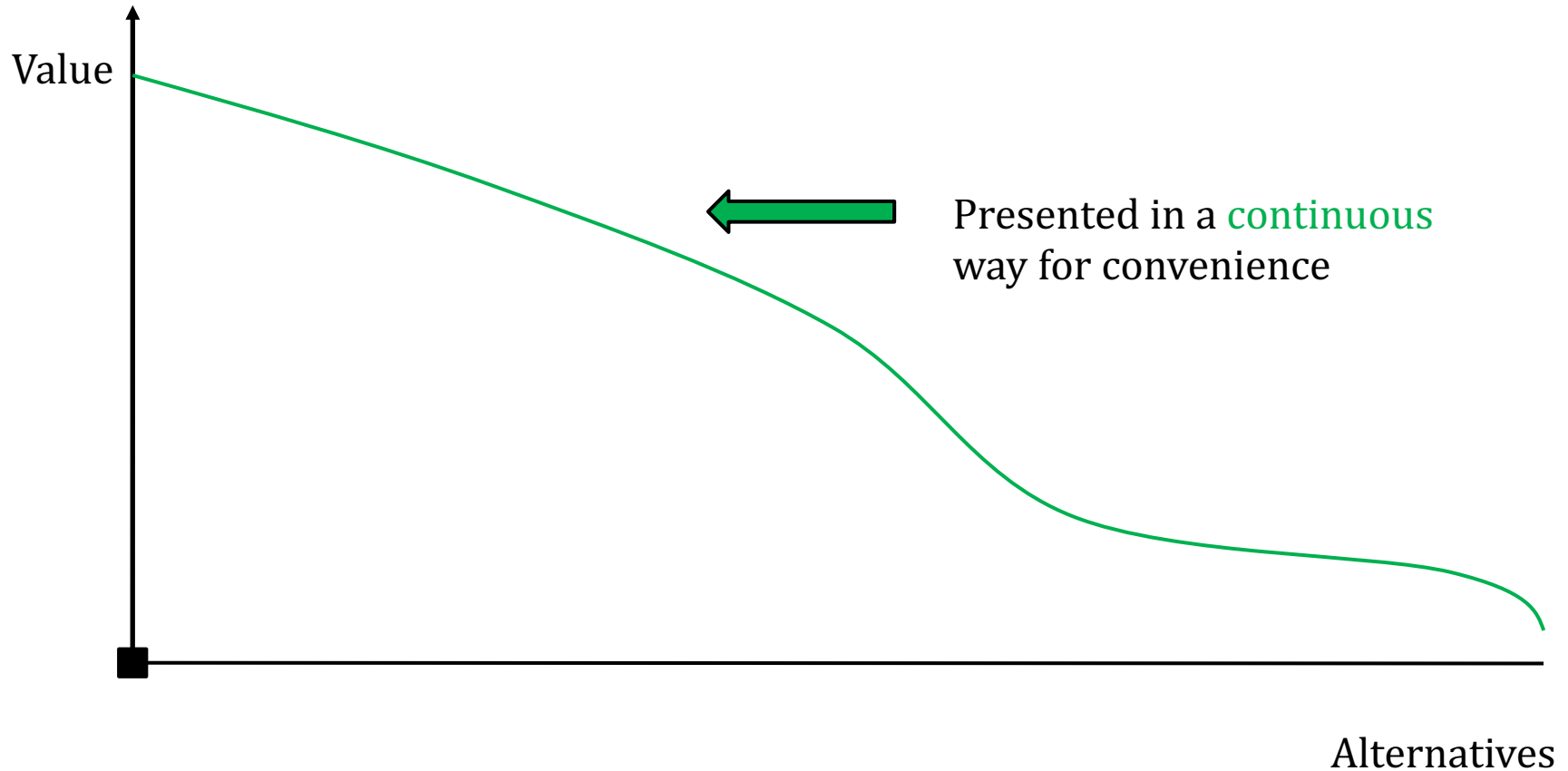
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# $k$ -Acceptable Range Voting

88

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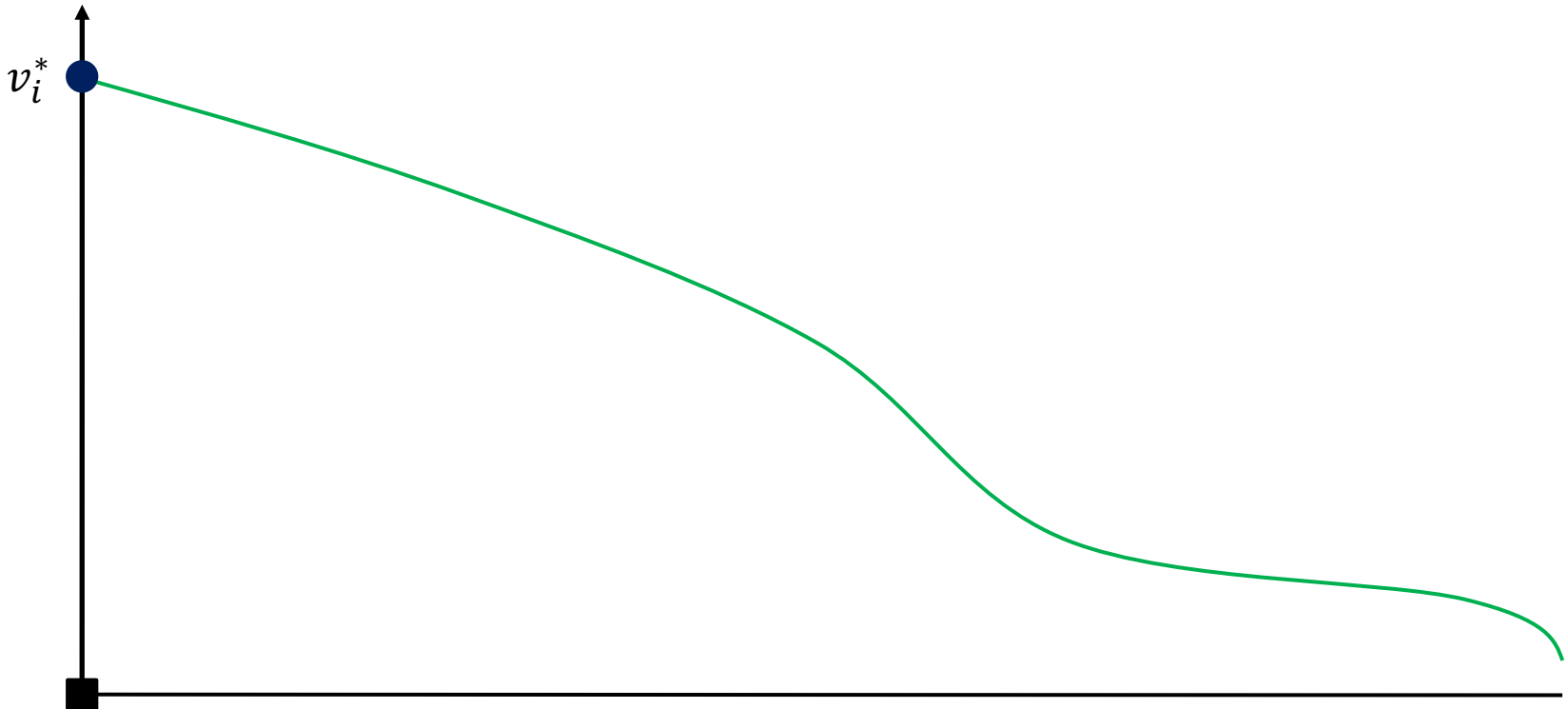




# $k$ -Acceptable Range Voting

89

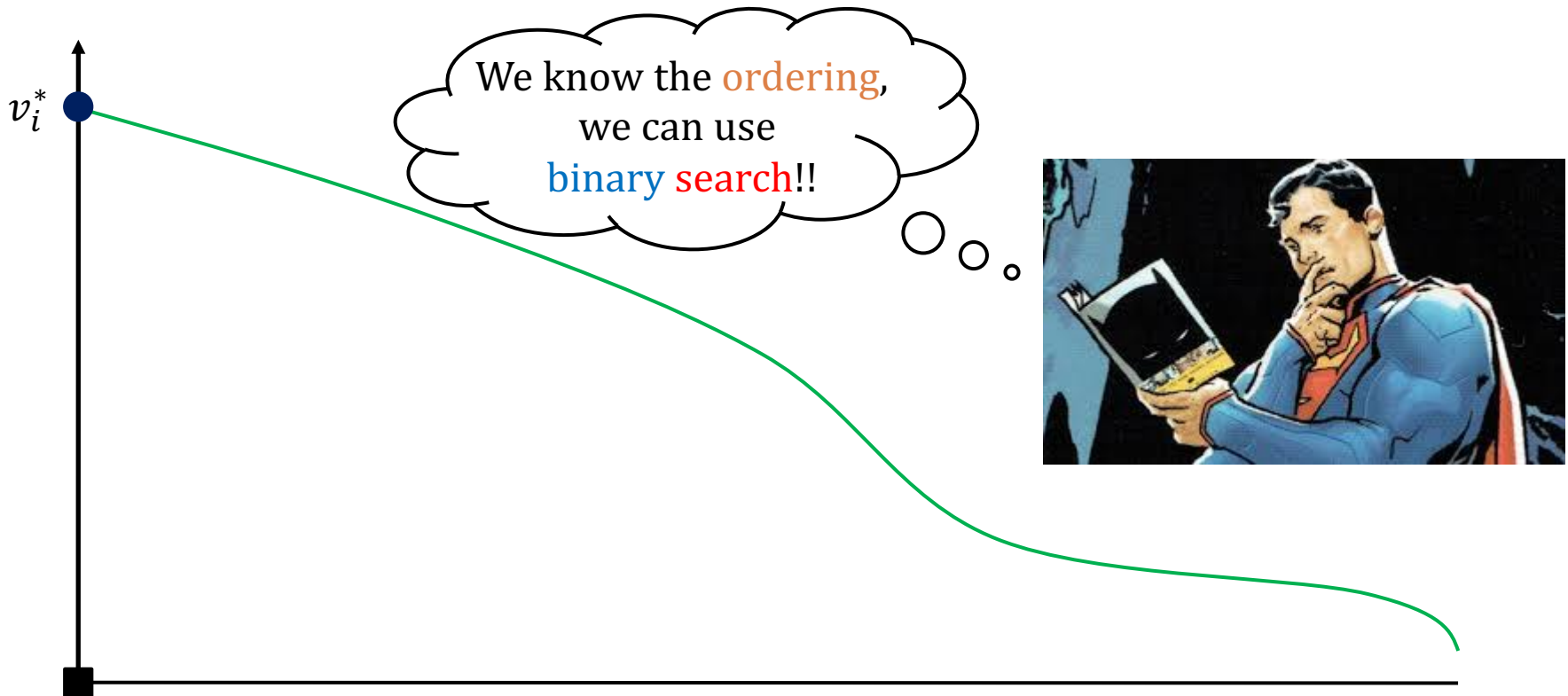
- Define  $k$  threshold values  $\lambda_1, \dots, \lambda_k$



# $k$ -Acceptable Range Voting

90

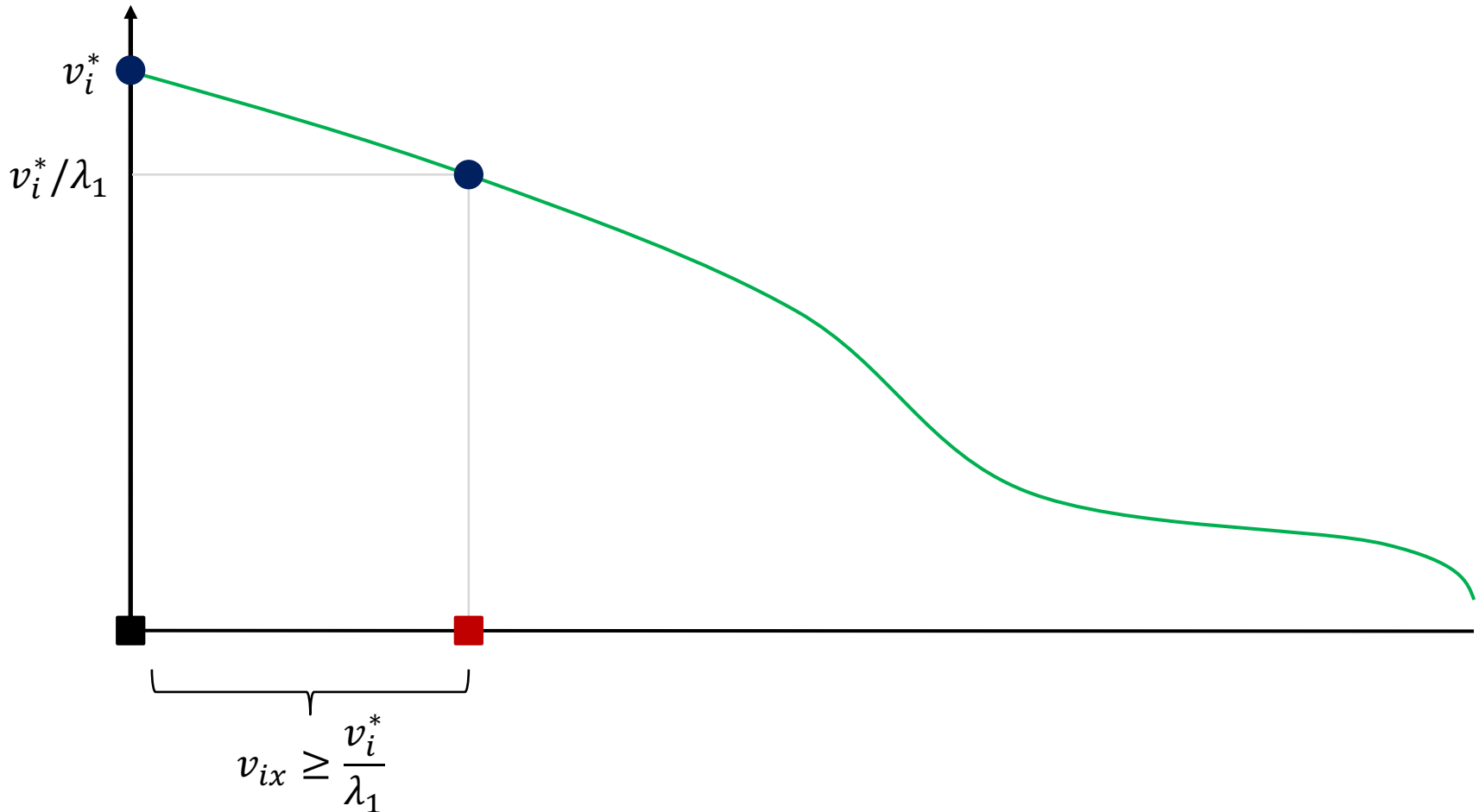
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91

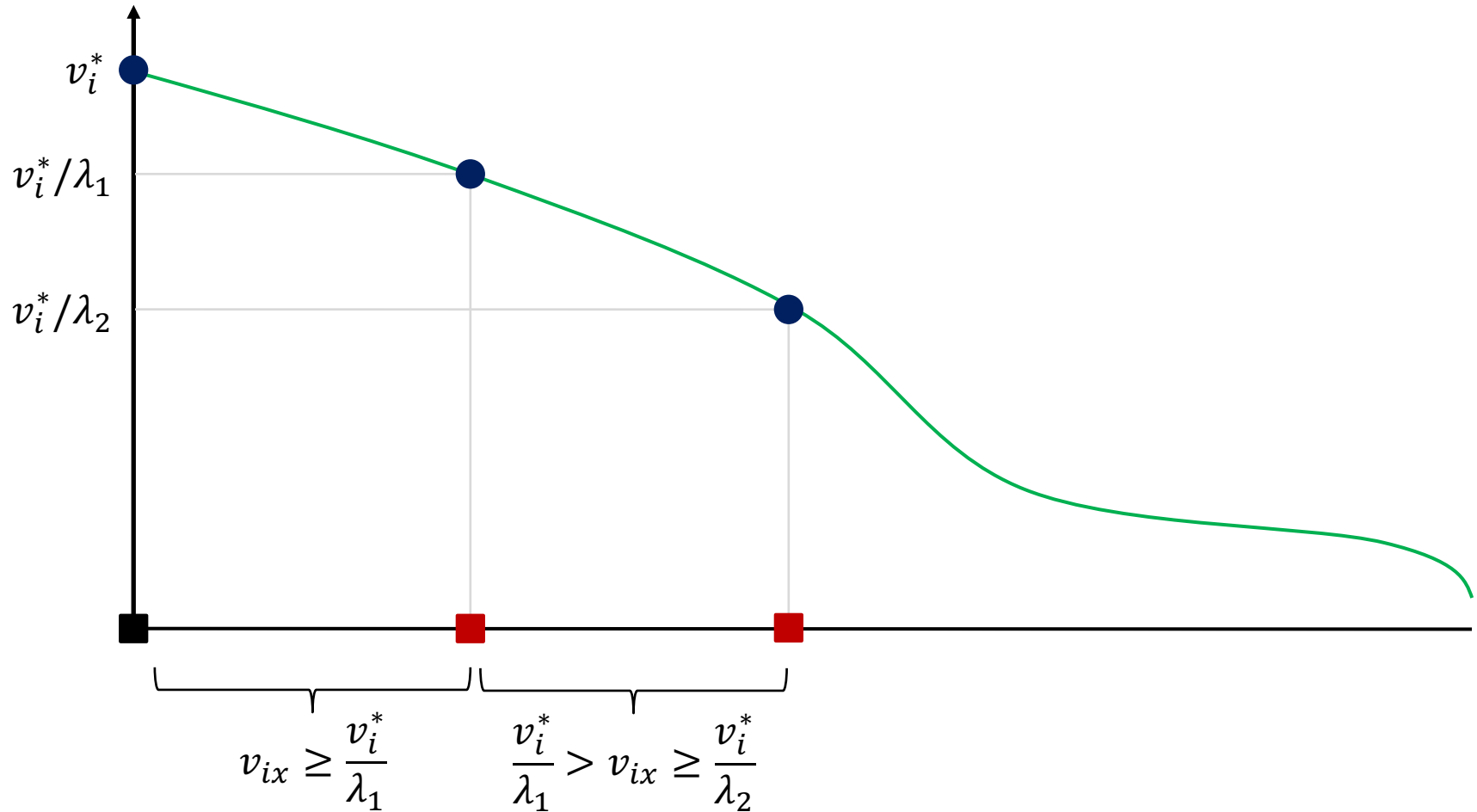
- Define  $k$  threshold values  $\lambda_1, \dots, \lambda_k$



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92

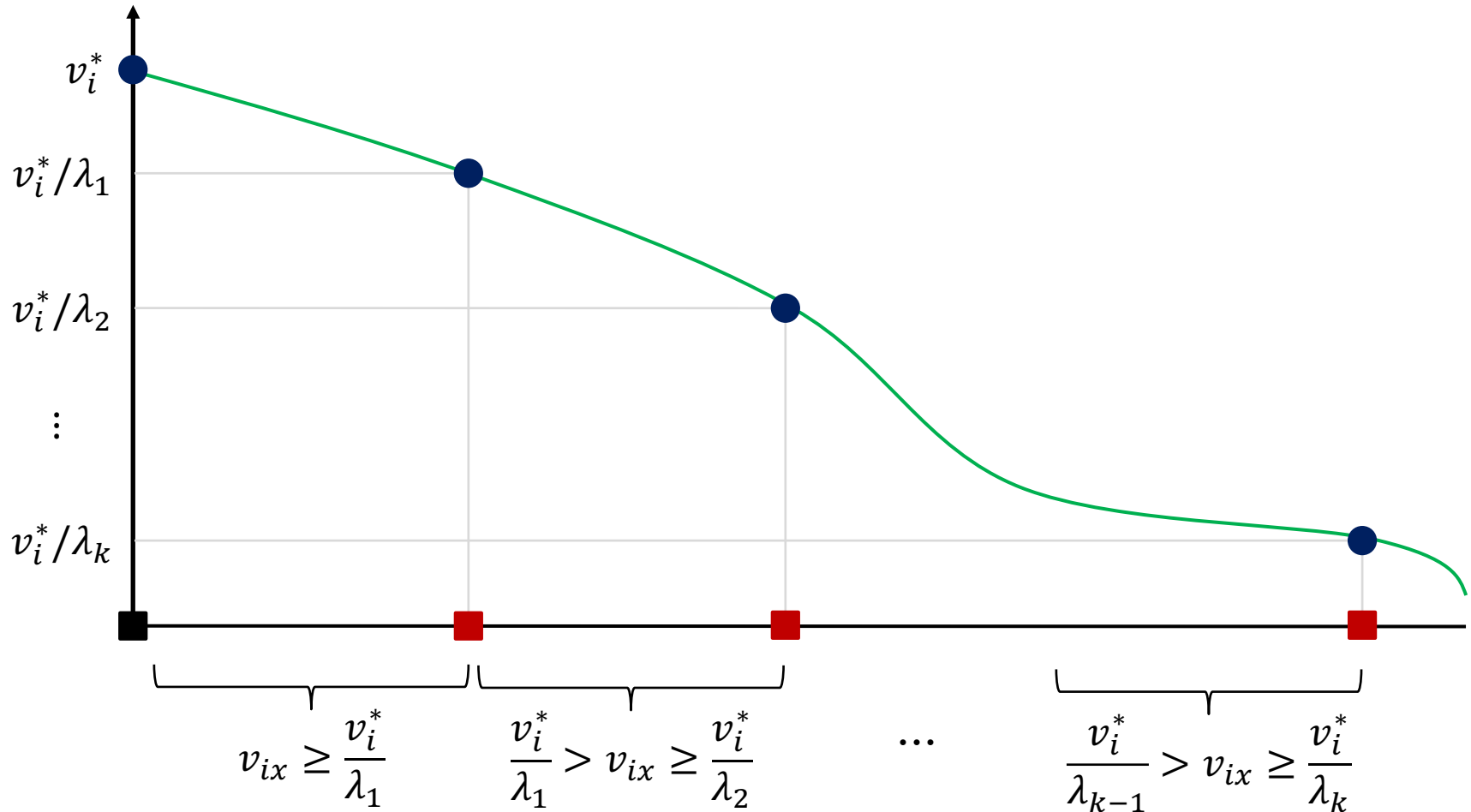
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93

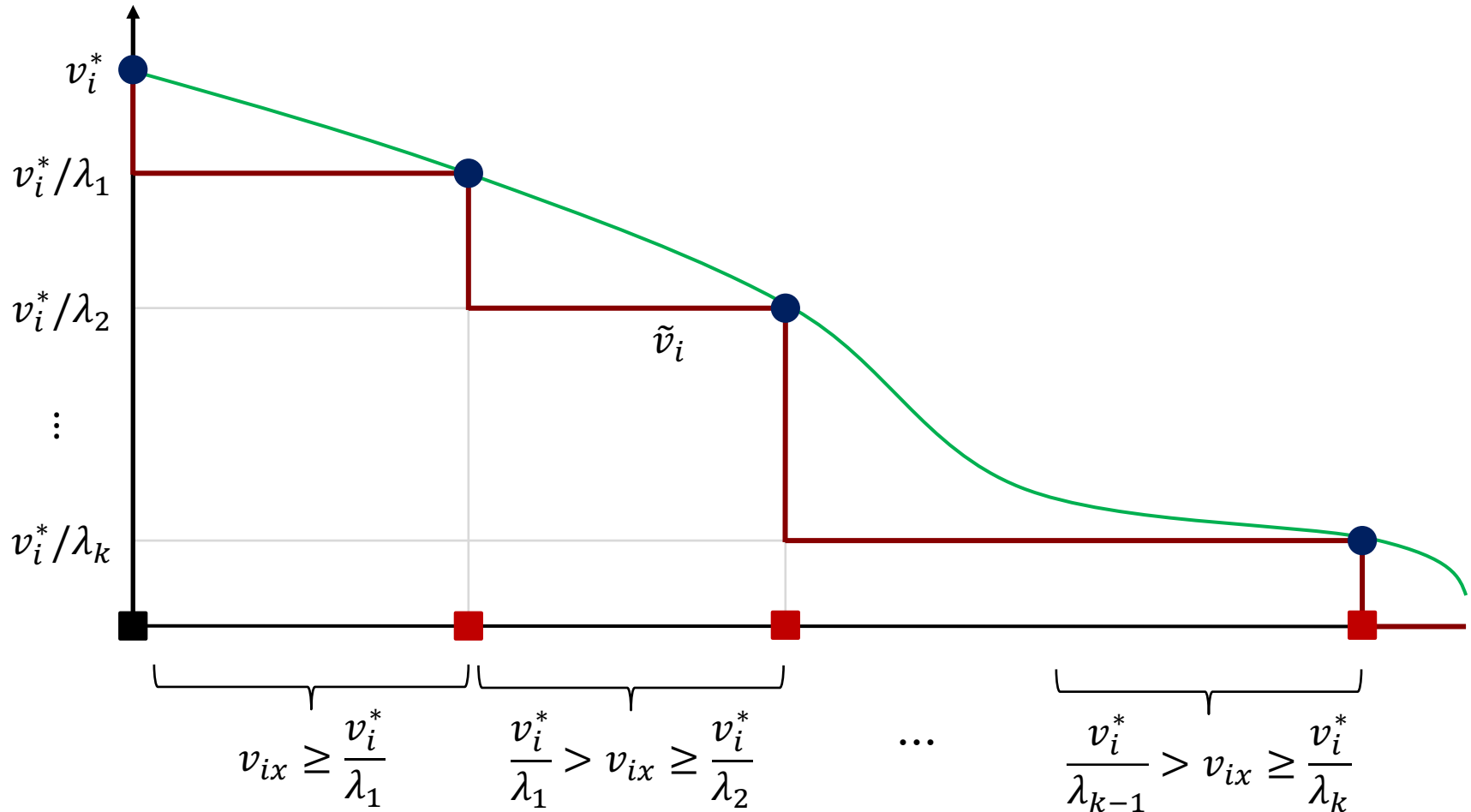
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94

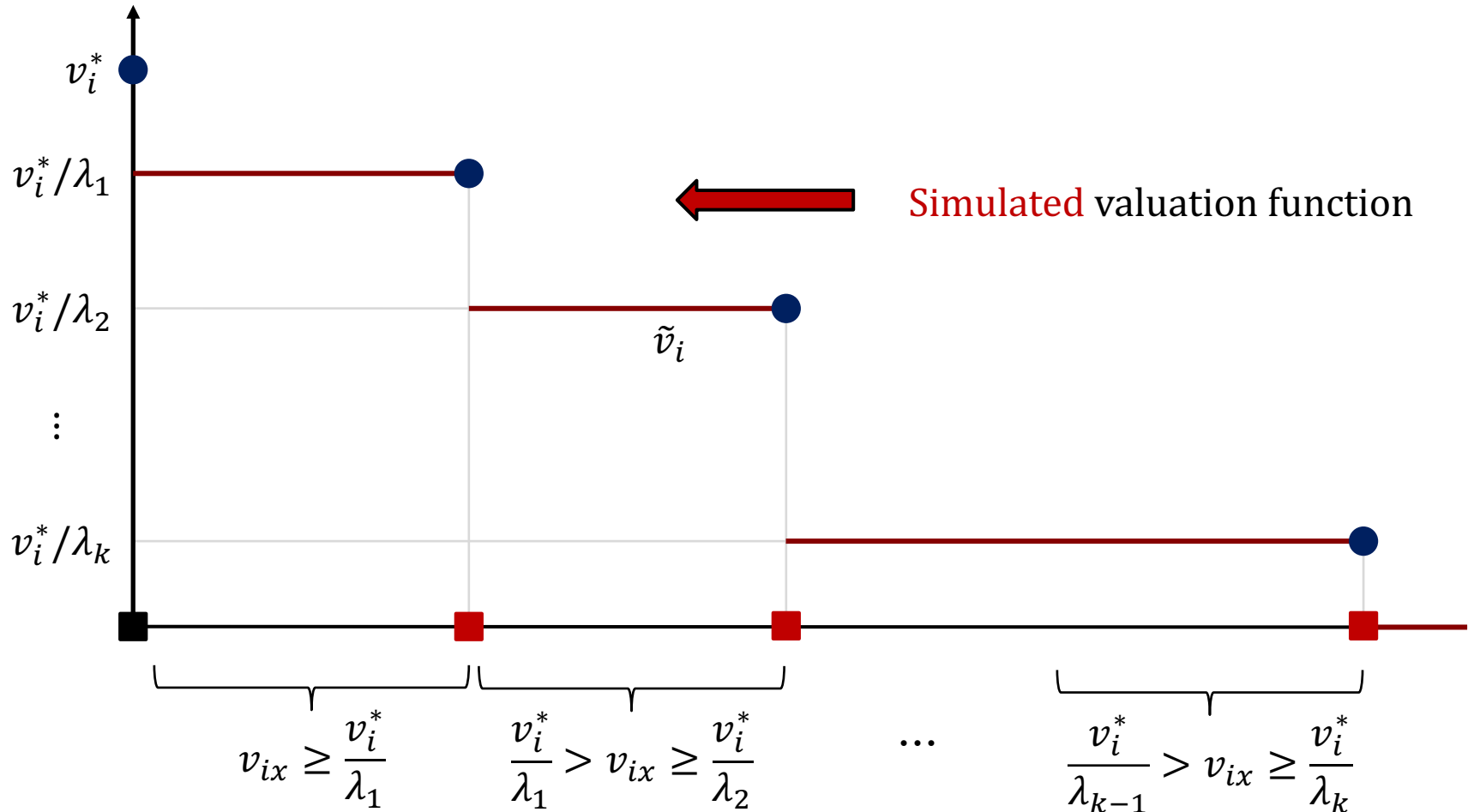
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# $k$ -Acceptable Range Voting

95

- Define  $k$  threshold values  $\lambda_1, \dots, \lambda_k$



# $k$ -Acceptable Range Voting

96

- Set  $\lambda_\ell = m^{\ell/(k+1)}$  for  $\ell \in [k]$
- Compute the **simulated** valuation function for every agent
- Return the alternative with maximum **simulated social welfare**



# $k$ -Acceptable Range Voting

97

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## **Theorem**

$k$ -ARV makes  $O(k \cdot \log m)$  values queries per agent, and has distortion  $O(\sqrt[k+1]{m})$ , even for unrestricted values

# $k$ -Acceptable Range Voting

98

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## **Theorem**

$k$ -ARV makes  $O(k \cdot \log m)$  values queries per agent, and has distortion  $O(k^{k+1} \sqrt[k+1]{m})$ , even for unrestricted values

- 1-ARV has distortion  $O(\sqrt{m})$  using  $O(\log m)$  queries per agent
- $\log m$ -ARV has distortion  $O(1)$  using  $O(\log^2 m)$  queries per agent

# Remark 1

99

- $O(\sqrt{m})$  distortion
  - $\Theta(\sqrt{m})$  queries   $O(\log m)$  queries
  
- $O(1)$  distortion
  - $\Theta(m)$  queries   $O(\log^2 m)$  queries

# Remark 2

100

- $\log m$ -ARV has distortion  $O(1)$  using  $O(\log^2 m)$  queries per agent
- Can be also achieved by using **comparison** queries under the **unit-sum** assumption
  - The assumption is needed in order to **approximate** via **comparison** queries the value of the alternative at the first position

# Remark 3

101

- $O(\sqrt{m})$  distortion
  - ▣  $O(\log m)$  queries
  - ▣ **Lower bound:** Constant number of queries per agent
  
- $O(1)$  distortion
  - ▣  $O(\log^2 m)$  queries
  - ▣ **Lower bound:**  $\log m$  queries per agent

# Part IV

102

## Improving Distortion via Queries

Going Beyond the Utilitarian Social Choice Setting

Amanatidis, B., Filos-Ratsikas, Voudouris [2021]

# Scope

103

- Consider **any problem** where there is a set of agents that has **cardinal** preferences over a set of elements

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104

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- Assume that the designer has access only to the **ordinal** information of the agents
- The designer has also the **power** to ask a number of queries to each agent, in order to gain **more information**

# Scope

106

- Consider **any problem** where there is a set of agents that has **cardinal** preferences over a set of elements
- Assume that the designer has access only to the **ordinal** information of the agents
- The designer has also the **power** to ask a number of queries to each agent, in order to gain **more information**
- **General question:** What are the **trade-offs** between **efficiency** and **information**

# Overview

107

- A **modified** version of  $k$ -ARV can be applied to a **general framework** of problems that can be described as follows:
  - **Maximize** an additive objective over a family of **combinatorial structures** defined on a **weighted graph**

# Overview

- This **framework** captures several well-known problems. We provide results for:

# Overview

109

- This **framework** captures several well-known problems. We provide results for:
  - ▣ General Graph Matching
  - ▣ Two-sided Perfect Matching
  - ▣ General Resource Allocation
  - ▣ Clearing Kidney Exchanges
  - ▣ Others

# Part V

110

## Improving Distortion via Queries

Conclusion

# Summary

111

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112

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- We introduced the idea of **improving distortion** by using **queries**
- We proposed a technique that provides good guarantees for the **social choice** setting, but is also applicable for a **general framework** of **graph-theoretic** problems
- We provided **lower** bounds, giving thus a **complete picture** on what is achievable with respect to the **available number of queries**

# Future Directions

114

- This work regards only **deterministic** mechanisms
  - ▣ Consider **randomized** mechanisms and see if it is possible to achieve significant improvements

# Future Directions

115

- Although  $k$ -ARV provides good guarantees, there is still **room for improvement** as the **lower bounds** indicate
  - ▣ Can we design mechanisms that achieve the desired distortion bounds by using **even less queries**?

# Future Directions

116

- A modified version of  $k$ -ARV can be applied to a general class of **graph-theoretic** problems
  - Can we design **tailor-made** mechanisms for these problems that provide **improved trade-offs** between **information** and **efficiency**?

# Thank You!!!!

