

Budget-Feasible Mechanism Design for Non-Monotone Submodular Objectives

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the setting



Buyer with budget B
and valuation function v

value v_1



cost c_1

value v_2



cost c_2

value v_3



cost c_3

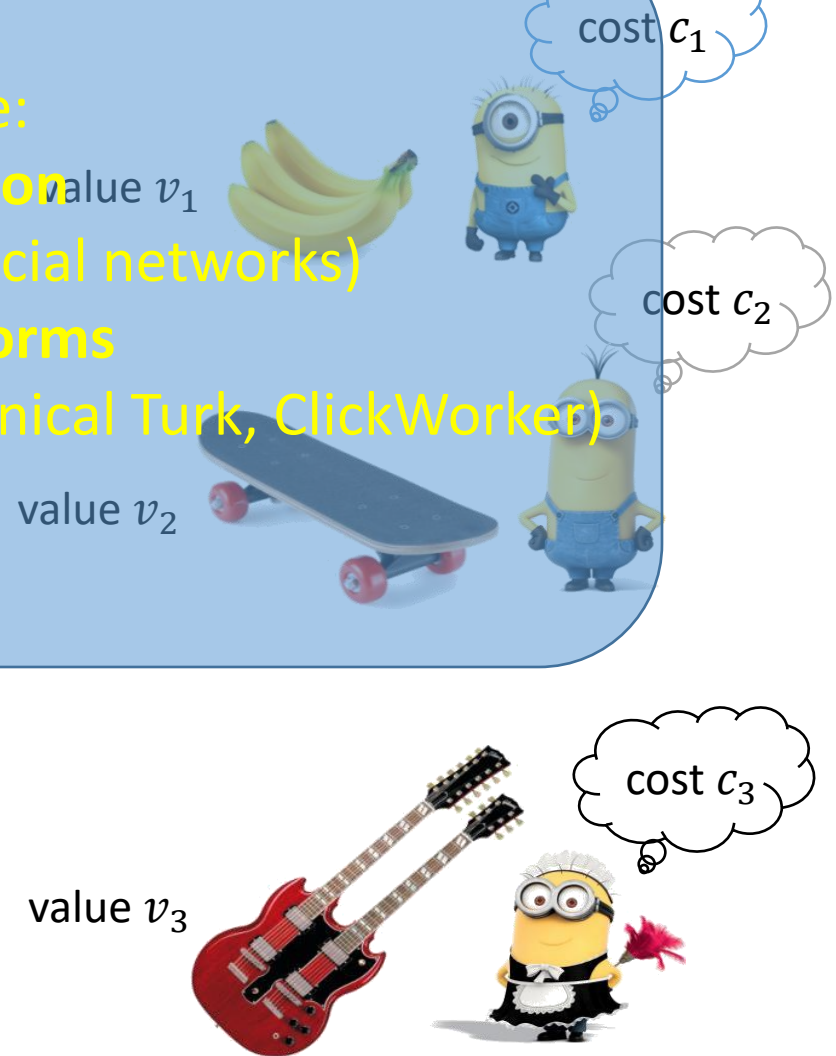
the setting

Models applications like:

- **Influence maximization** (advertisement on social networks)
- **Crowdsourcing platforms** (e.g., Amazon Mechanical Turk, ClickWorker)
- **Team formation**



Buyer with budget B
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the setting



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cost c_3

the setting

- Set of **items** $A = \{1, 2, \dots, n\}$.
- Each item i comes with a **cost** c_i .
- Buyer with a **budget** B and a **valuation function** $v: 2^A \rightarrow \mathbb{R}$.

the setting



Buyer with budget B
and an **additive**
valuation function

value v_1



cost c_1

value v_2



cost c_2

Total value = $v_2 + v_3$

value v_3



cost c_3

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- Each item i comes with a cost c_i .
- Buyer with a budget B and a valuation function $v: 2^A \rightarrow \mathbb{R}$.
- When v is additive:
 - Objective: Select a set S that maximizes $v(S) = \sum_{i \in S} v_i$ subject to the constraint $\sum_{i \in S} c_i \leq B$.
 - This is just Knapsack!

the setting

- Knapsack is an NP-hard problem.

Reminder:

ALG is a ρ -approximation algorithm if $\rho \cdot v(ALG(I)) \geq v(OPT(I))$ for all I .

- However, we can approximate the optimal solution within $1 + \epsilon$ in polynomial time.
- Straightforward 2-approximation algorithm:
 - Sort all items from higher to lower density (*value / cost*);
 - Greedily build a feasible solution S w.r.t. this ordering;
 - Return the best among S and the item of highest value.

the setting



Buyer with budget B
and a **submodular**
valuation function

value v_1



cost c_1

value v_2



cost c_2

Total value $\leq v_2 + v_3$

value v_3

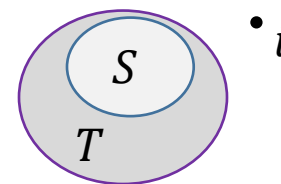


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the setting

- Set of items $A = \{1, 2, \dots, n\}$.
- Each item i comes with a cost c_i .
- Buyer with a budget B and a valuation function $v: 2^A \rightarrow \mathbb{R}$.
- Typically, v is **submodular**:
 - $v(S \cup \{i\}) - v(S) \geq v(T \cup \{i\}) - v(T)$
for any $S \subseteq T$ and $i \notin T$

i 's marginal contribution decreases as the set grows

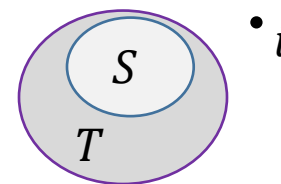


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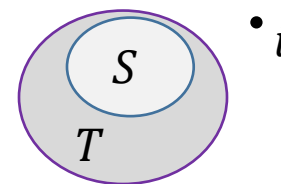
- Select a set S that maximizes $v(S)$ subject to $\sum_{i \in S} c_i \leq B$.

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- Select a set S that maximizes $v(S)$ subject to $\sum_{i \in S} c_i \leq B$.
- Known e -approximation algorithm

the setting

- This is still an NP-hard problem.
- Approximating the optimal solution within $\frac{e}{e-1}$ in polynomial time is the best one could hope for.
- Straightforward 3-approximation algorithm for *monotone* submodular objectives:
 - Sort all items from higher to lower *marginal* density;
 - Greedily build a feasible solution S w.r.t. this ordering;
 - Return the best among S and the item of highest value.

the setting



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cost c_3



the setting

- Set of **agents** $A = \{1, 2, \dots, n\}$.
- Each agent i comes with a *private* cost c_i .
- Buyer with a budget B and a valuation function $v: 2^A \rightarrow \mathbb{R}$.

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Here v is *general submodular*.

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Reminder:

A function $v: 2^A \rightarrow \mathbb{R}$ is *submodular* if for any $S \subseteq T$ and $i \notin T$:

$$v(S \cup \{i\}) - v(S) \geq v(T \cup \{i\}) - v(T) .$$

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the setting



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valuation function

value v_1



cost c_1



value v_2



cost c_2



value v_3



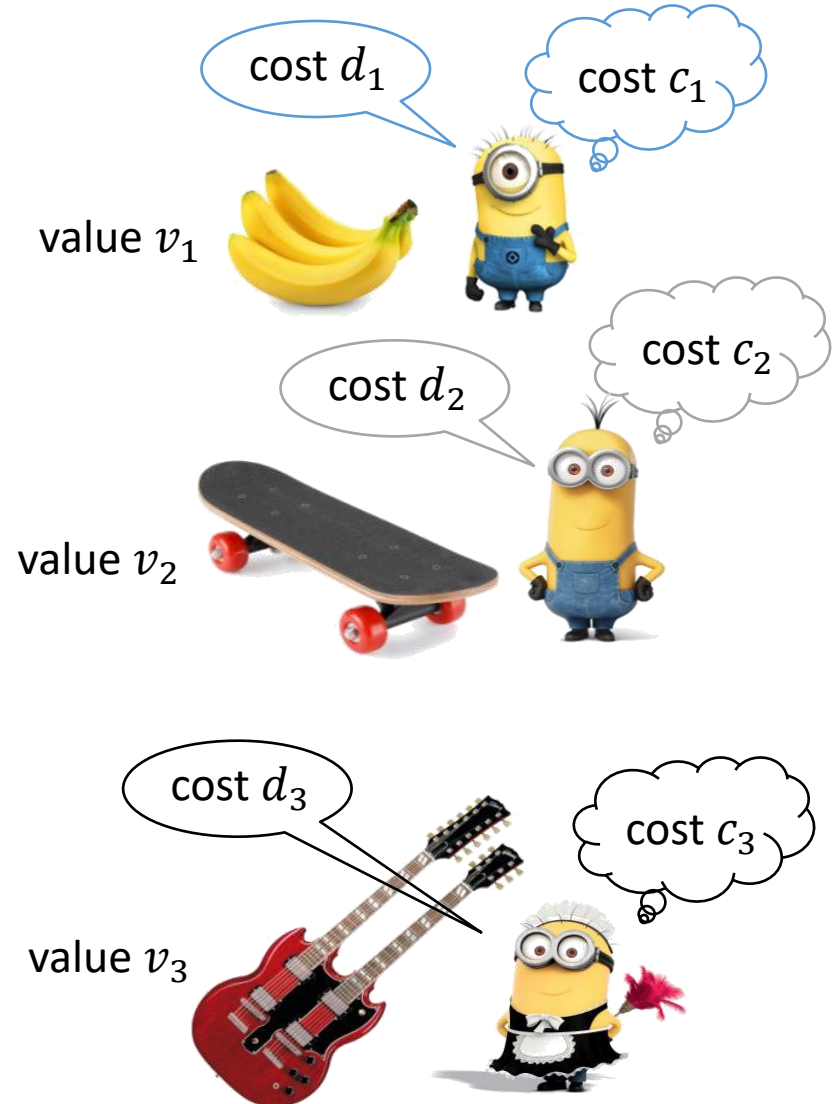
cost c_3



the setting



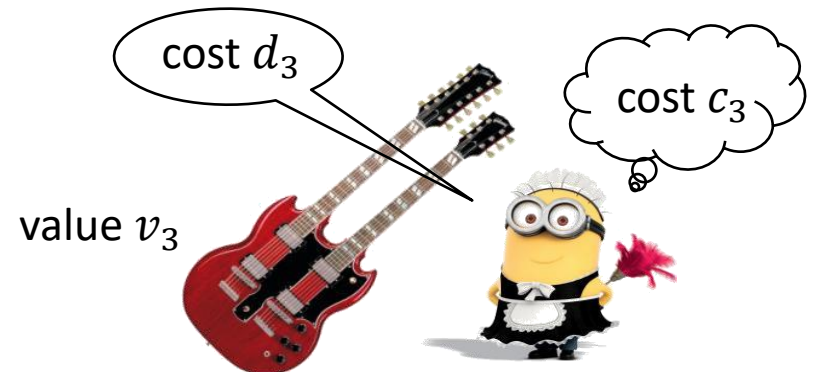
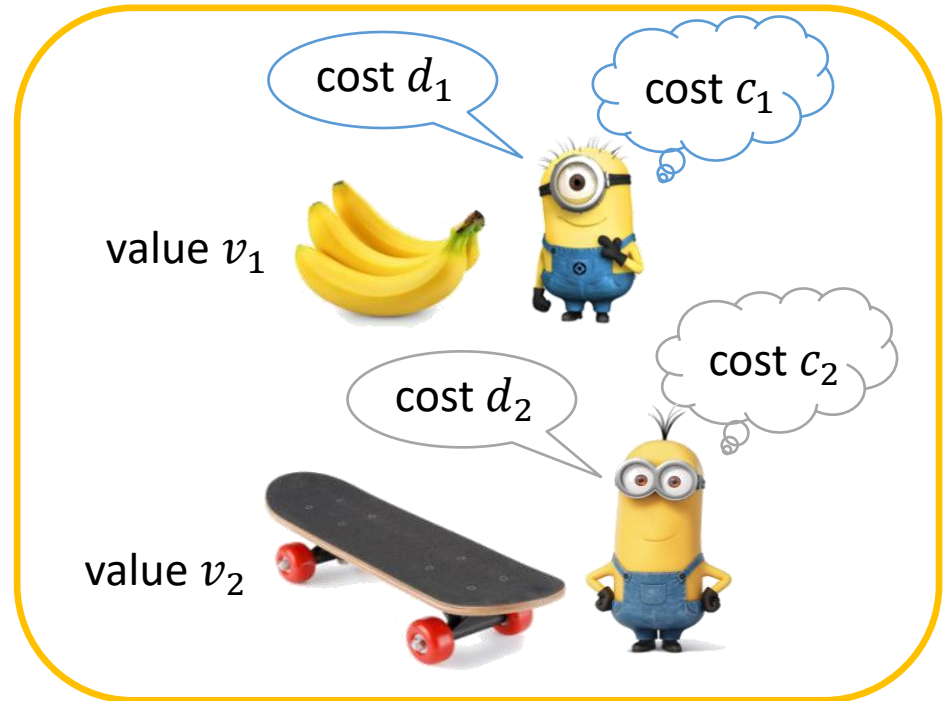
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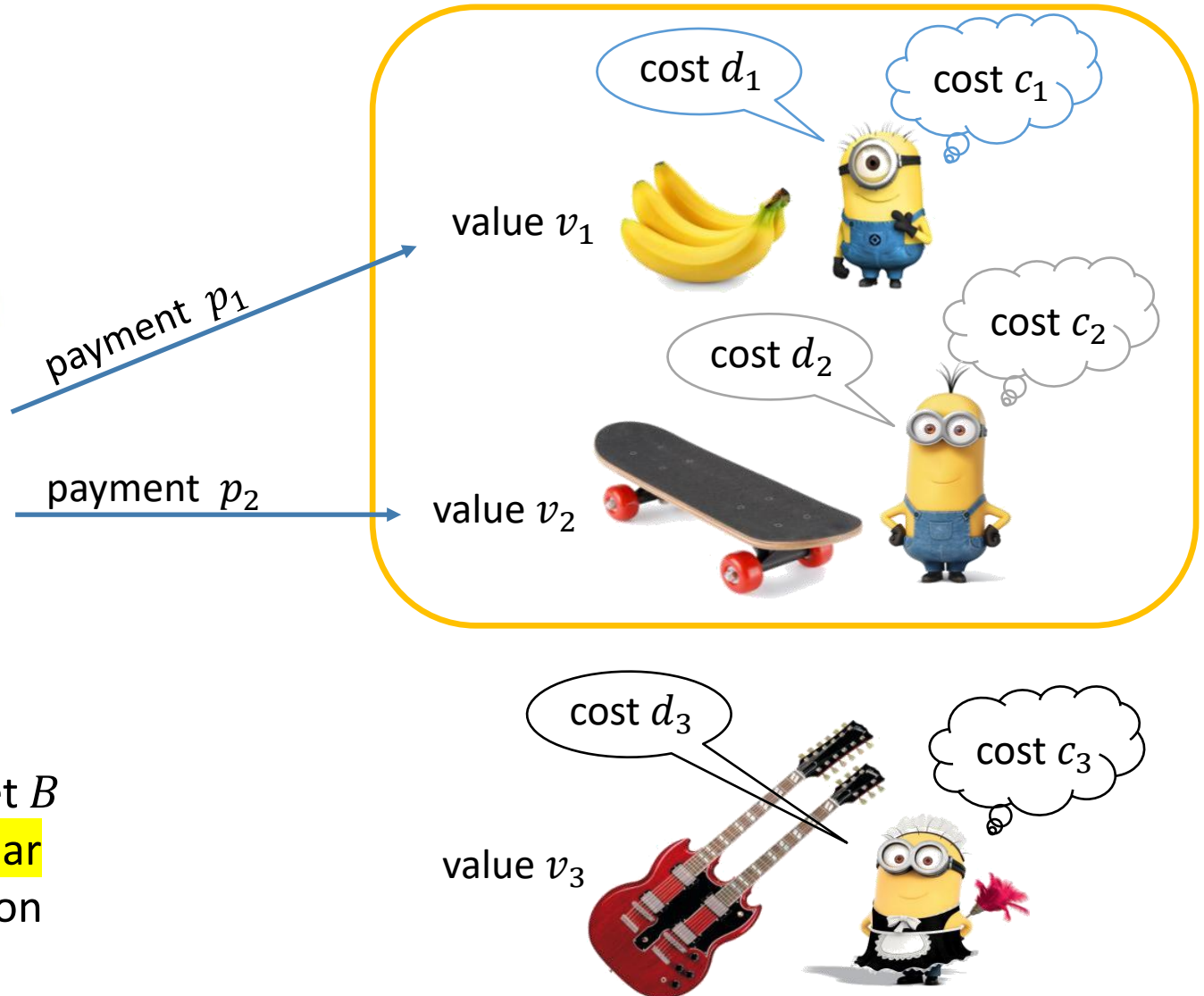
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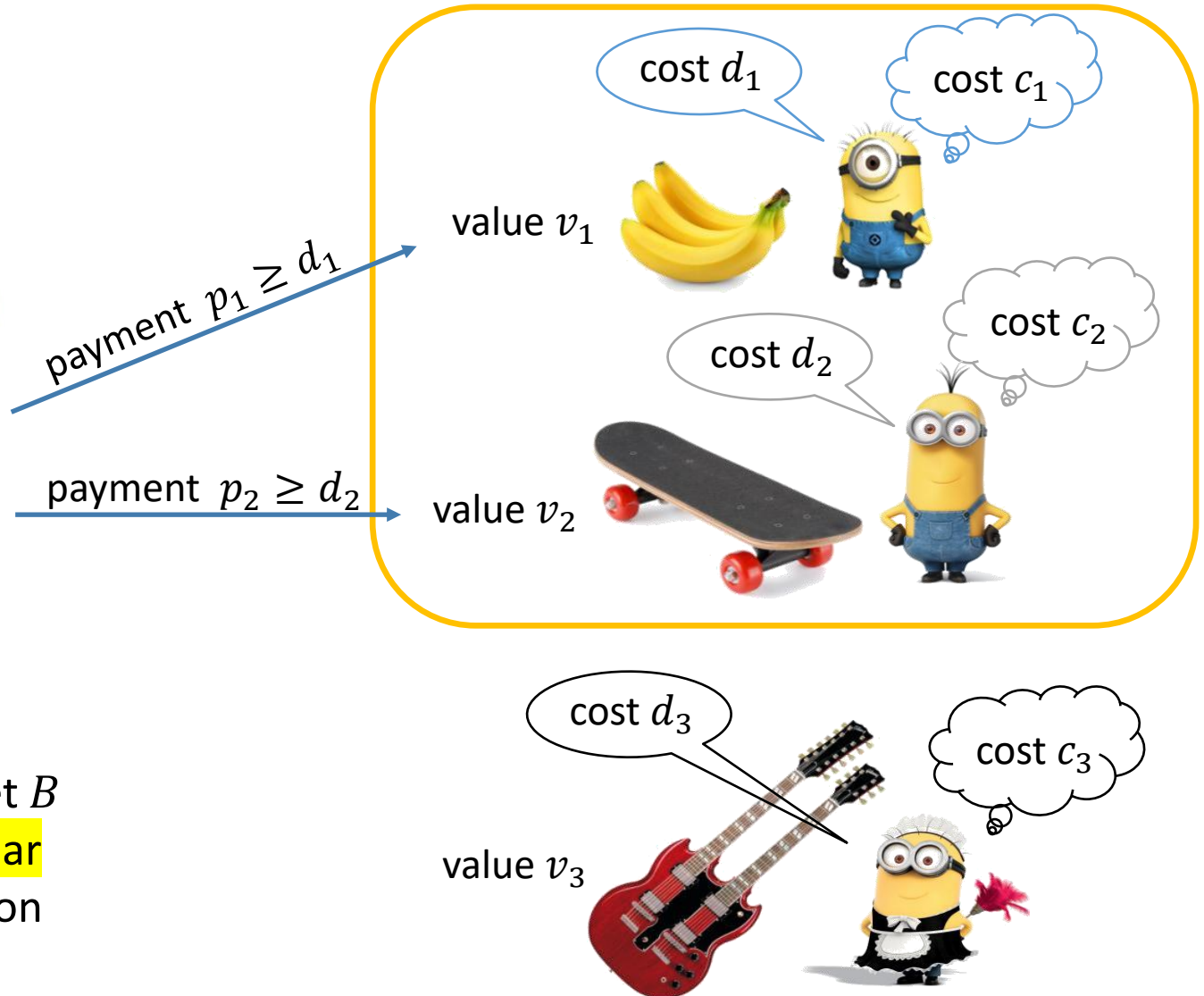
Buyer with budget B
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the setting



Buyer with budget B
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the setting

- Can we ensure that the agents report the c_i s?

the setting

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- A **truthful mechanism** is an algorithm that uses payments to ensure that *no agent has an incentive to lie*.

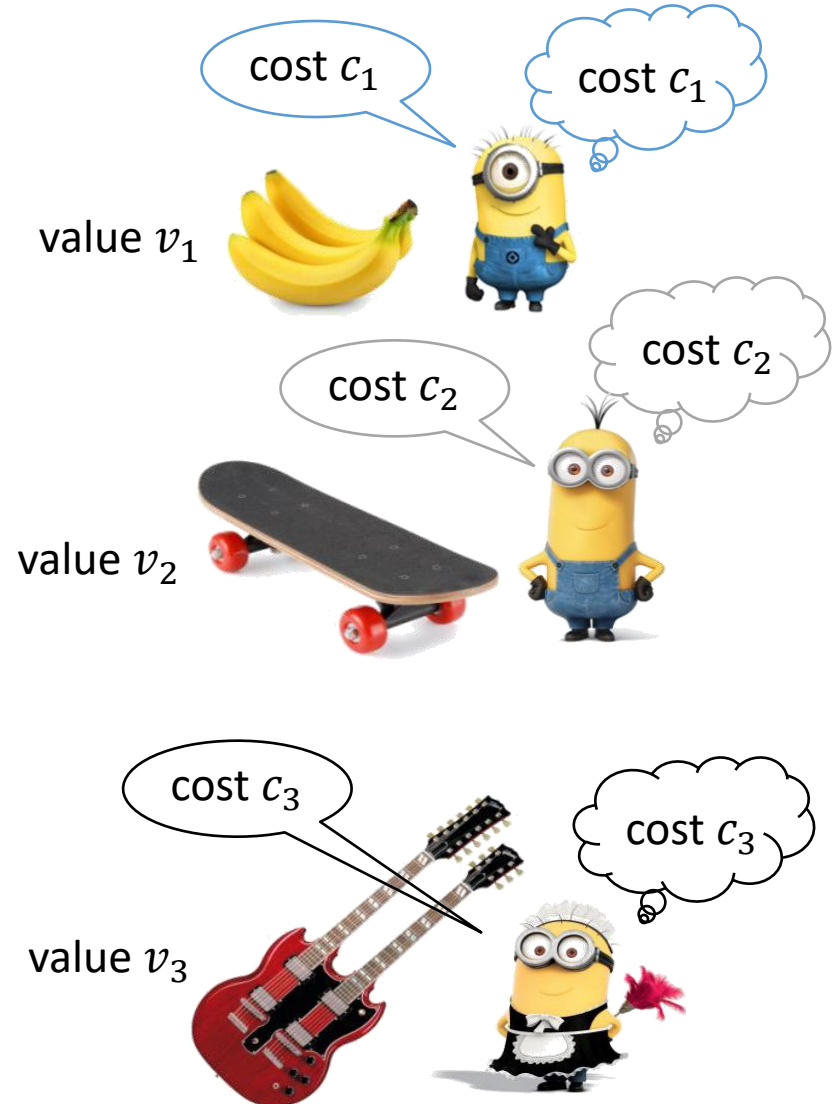
the setting

- Can we ensure that the agents report the c_i s?
- A **truthful** mechanism is an algorithm that uses payments to ensure that *no agent has an incentive to lie*.
- In settings like this one, there is a **unique payment scheme** that works, given that our solution is **monotone** (Myerson)

the setting



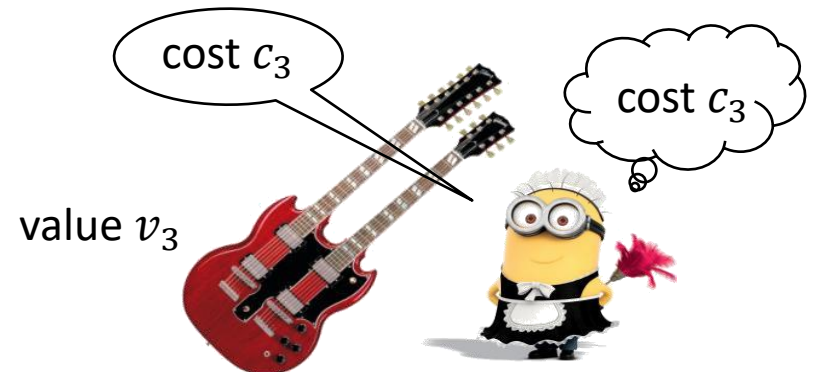
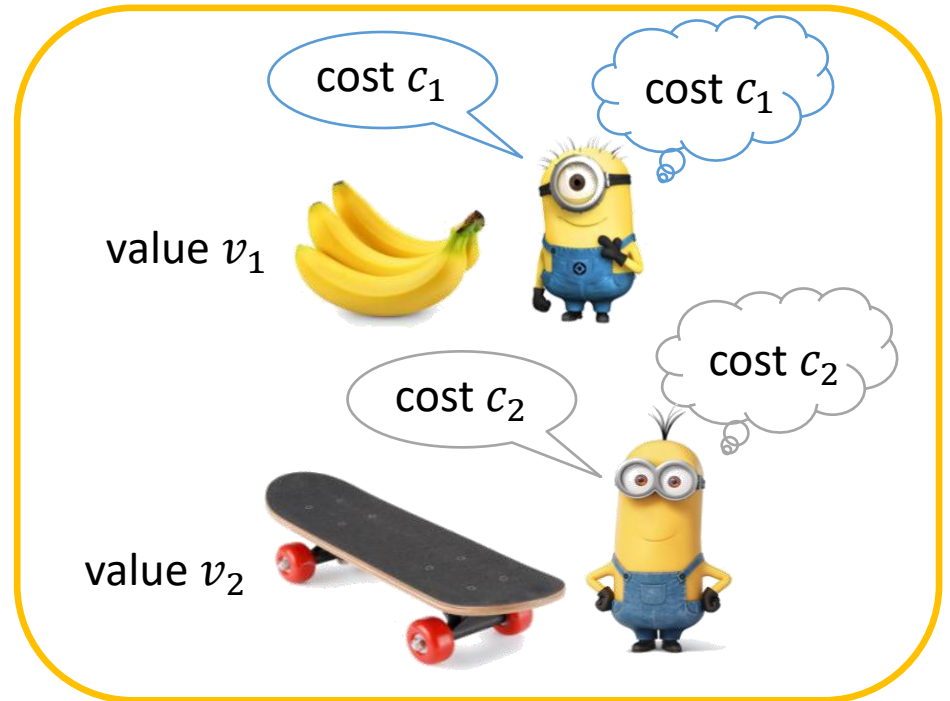
Buyer with budget B
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the setting



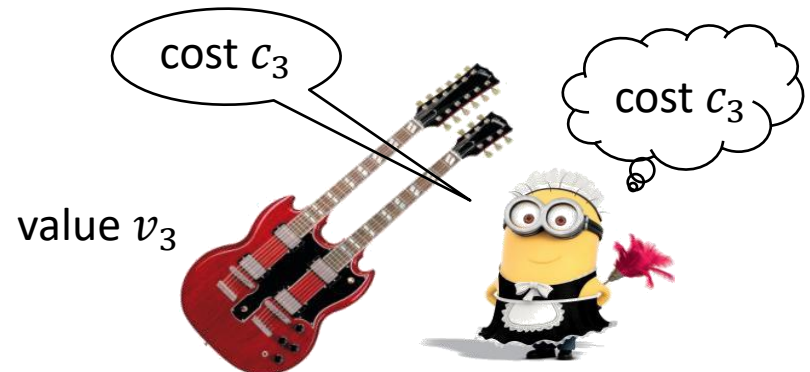
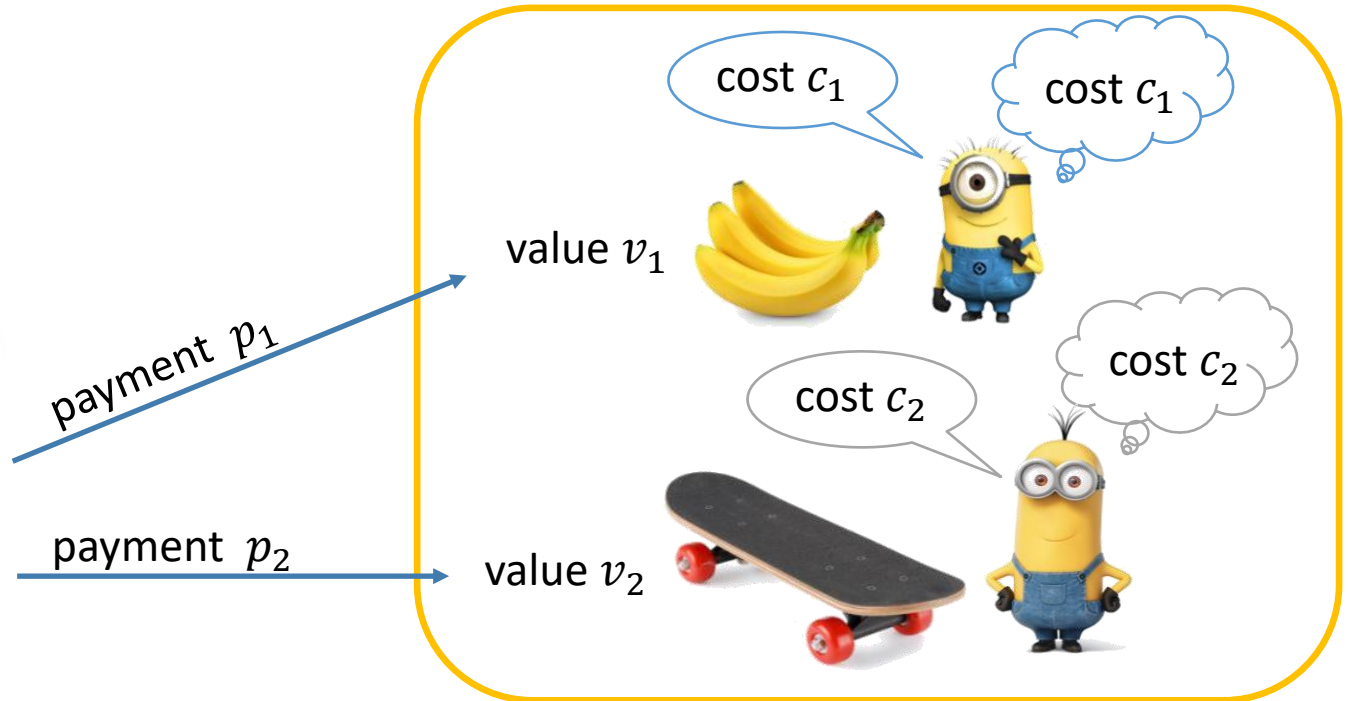
Buyer with budget B
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the setting



Buyer with budget B
and a **submodular**
valuation function



the setting



Buyer with budget B
and a **submodular**
valuation function

payment $p_1 \geq c_1$

payment $p_2 \geq c_2$

value v_1



cost c_1



cost c_1

value v_2



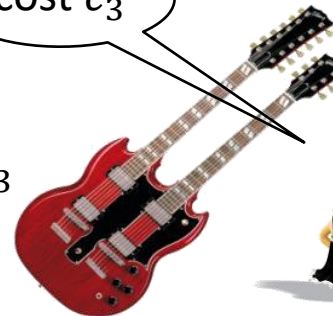
cost c_2



cost c_2

value v_3

cost c_3



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Find a set S that maximizes $v(S)$, subject to $\sum_{i \in S} c_i \leq B$.

Design **truthful** mechanisms with strong approximation guarantees.

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Design **truthful**, **budget-feasible** mechanisms with strong approximation guarantees.

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$$\sum_{i \in S} p_i \leq B$$

Design **truthful**, **budget-feasible** mechanisms with strong approximation guarantees.


related work

- Initiated by [Singer '10]
- Additive and monotone submodular objectives
[Singer '10], [Chen, Gravin, Lu '11], [Badanidiyuru, Kleinberg, Singer '12],
[A., Birmpas, Markakis '16], [Leonardi, Monaco, Sankowski, Zhang '17],
[Jalaly, Tardos '18], [Gravin '19]
- Subadditive, XOS, and symmetric submodular objectives
[Dobzinski, Singer, Papadimitriou '11], [Bei, Chen, Gravin, Lu '12],
[A., Birmpas, Markakis '17]
- For general submodular objectives an **exponential-time**
768-approximation mechanism is implied by [Bei et al. '12]

budget-feasible mechanism design

- *Single-parameter* mechanism design problem.
- Suffices to find **monotone algorithms**. (Myerson's lemma)

Myerson's lemma

- Designing of truthful mechanisms (almost) the same as constructing monotone allocation rules.
- We say that an outcome rule f is *monotone*, if
$$i \in f(b_i, b_{-i}) \Rightarrow i \in f(b'_i, b_{-i}) \text{ for } b'_i \leq b_i$$


i 's bid Everyone else's bid (vector)

Lemma: Given a monotone algorithm f , there is a unique payment scheme p such that (f, p) is a truthful and individually rational mechanism.

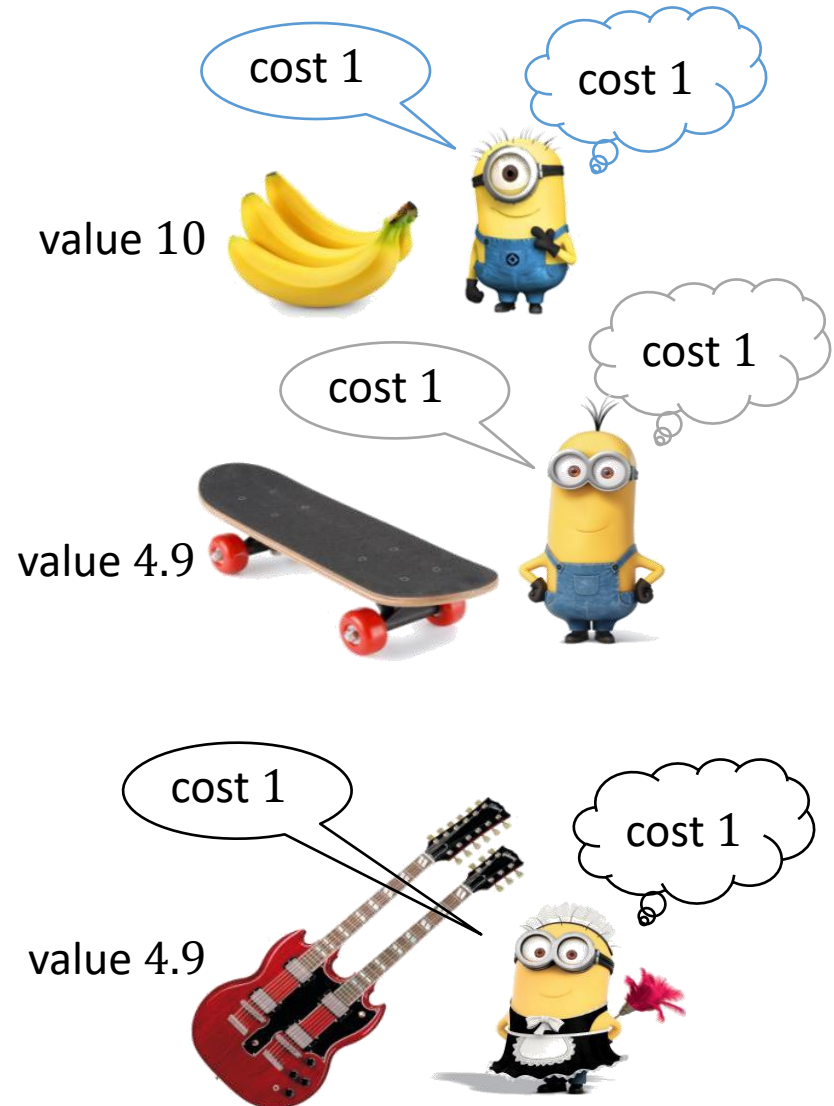
budget-feasible mechanism design

- *Single-parameter* mechanism design problem.
- Suffices to find monotone algorithms. (Myerson's lemma)
- Presence of **budget** makes the problem very challenging.
- Even **exponential** truthful mechanisms are not obvious.

lower bound



Buyer with budget
 $B = 3$
and an **additive**
valuation function

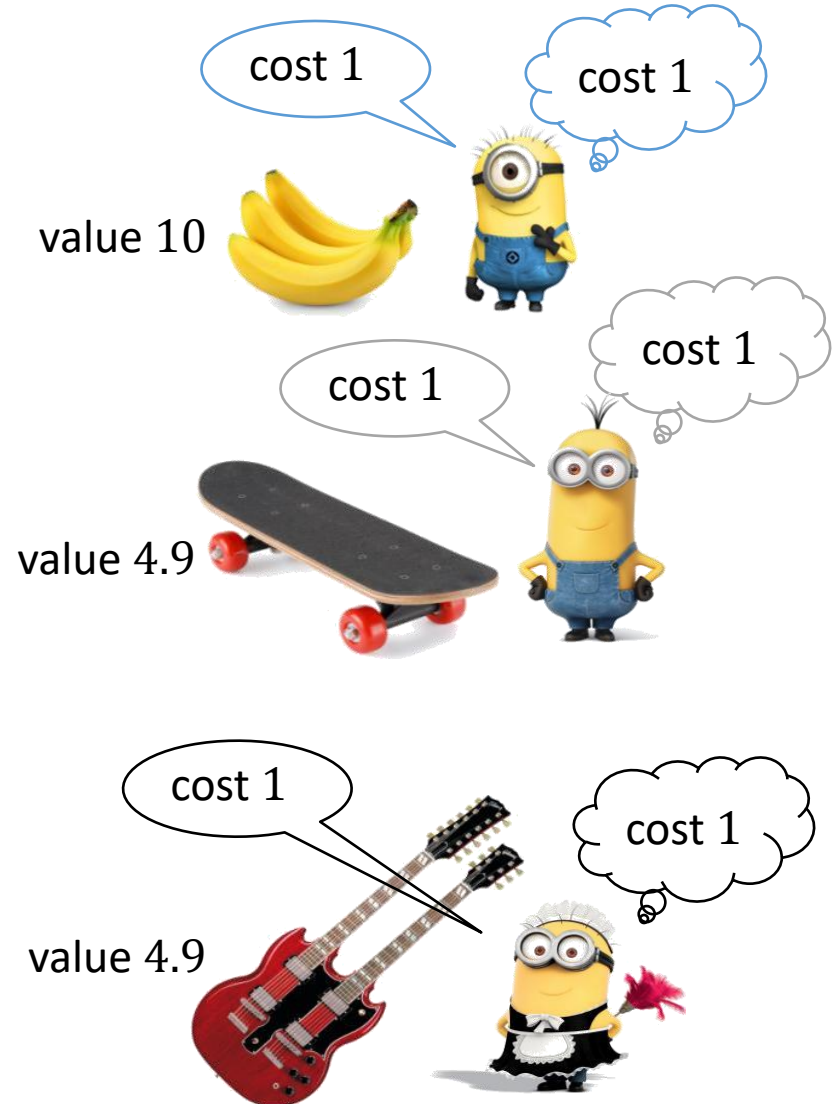


lower bound

value of optimal
solution = 19.8



Buyer with budget
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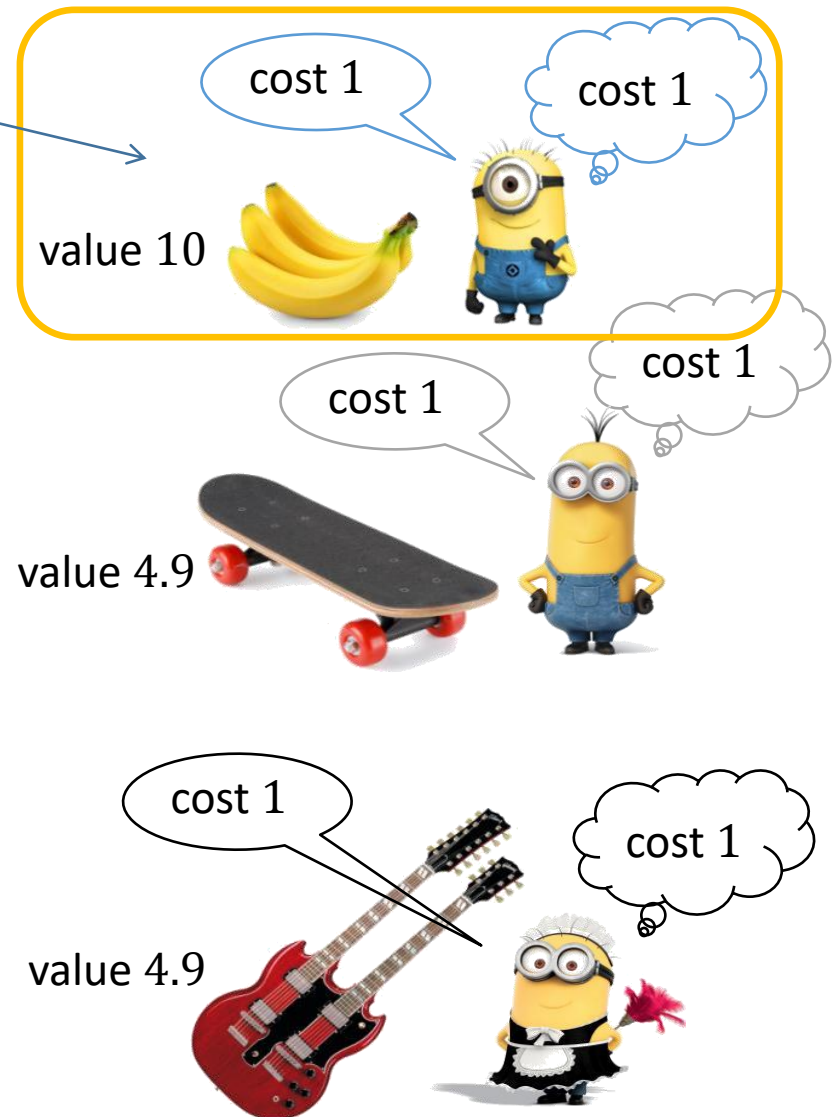
Must be included to the solution, or else we have an approximation factor > 2 .

lower bound

How much should he get paid?



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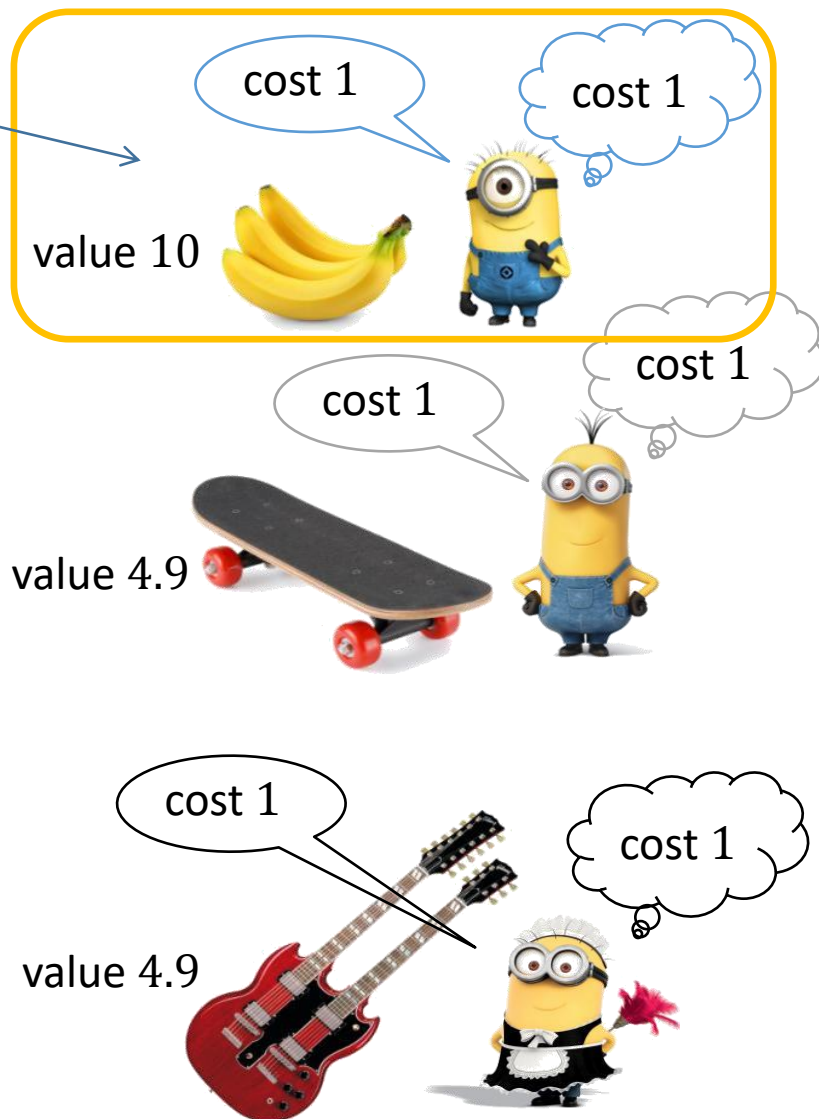
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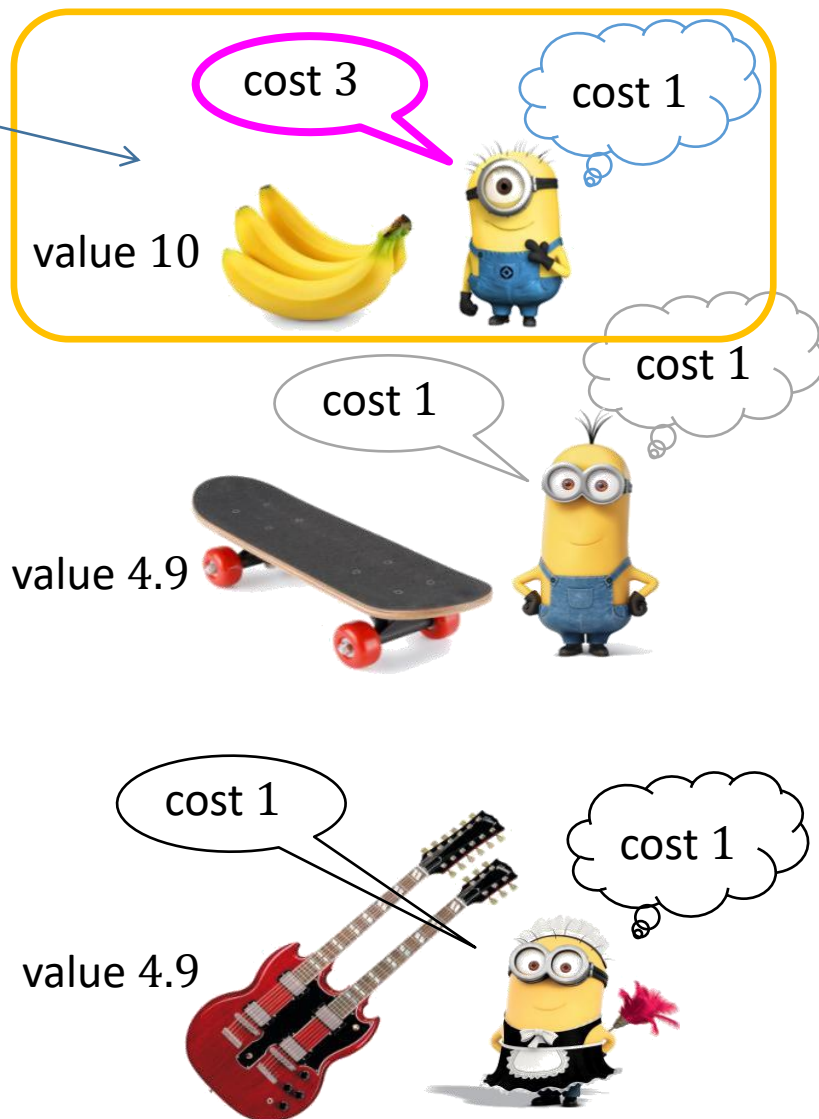
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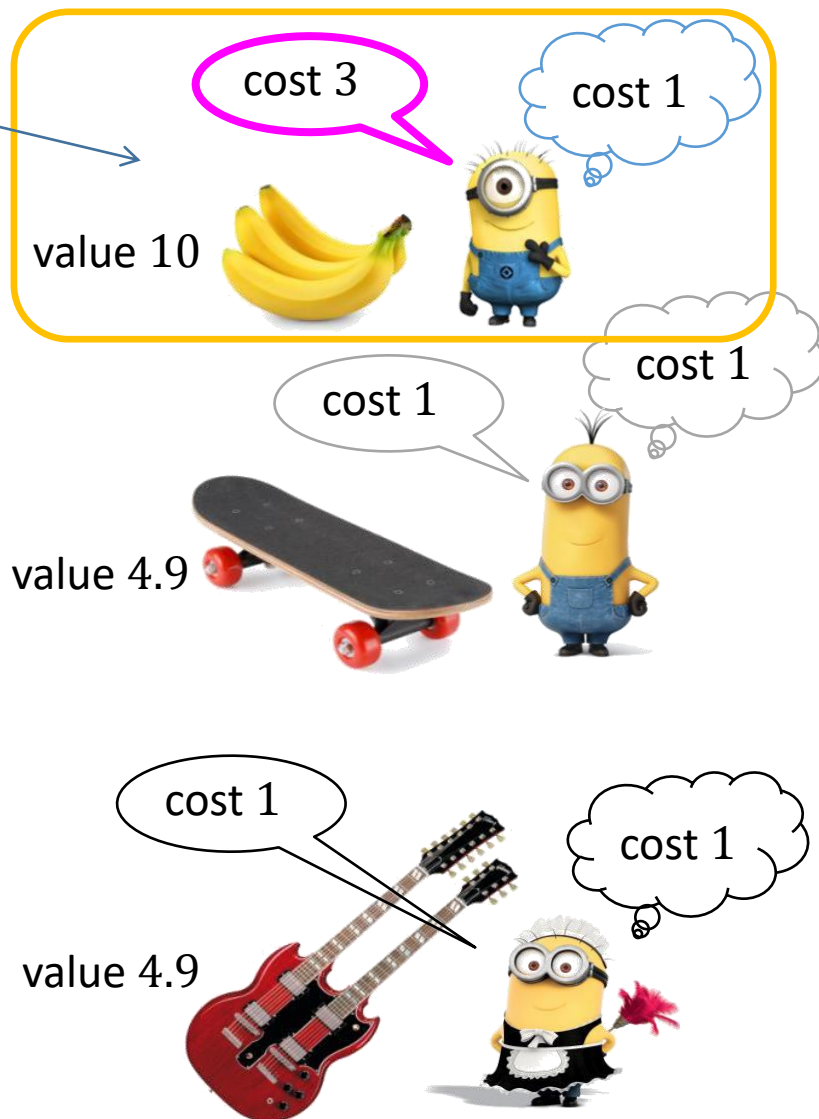
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lower bound

How much should he get paid?



must pay $p_1 = 3$



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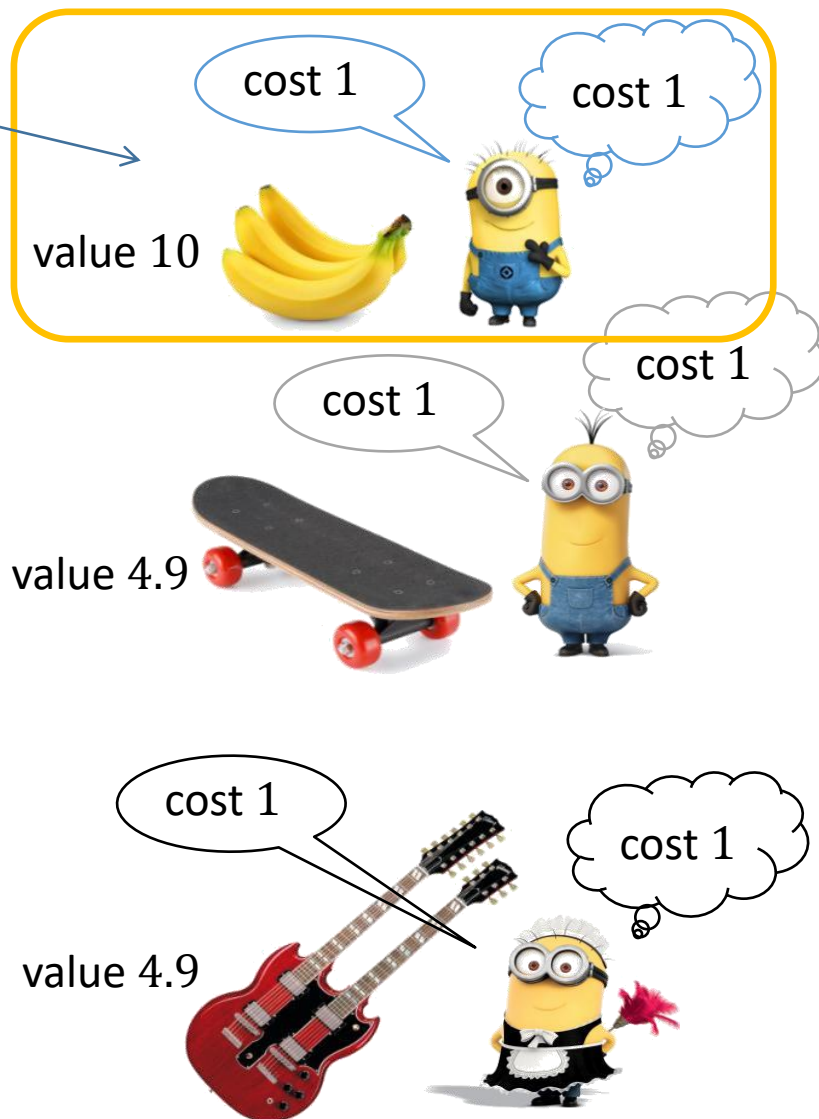
lower bound

How much should he get paid?



must pay $p_1 = 3$
even when he
tells the truth!

Buyer with budget
 $B = 3$
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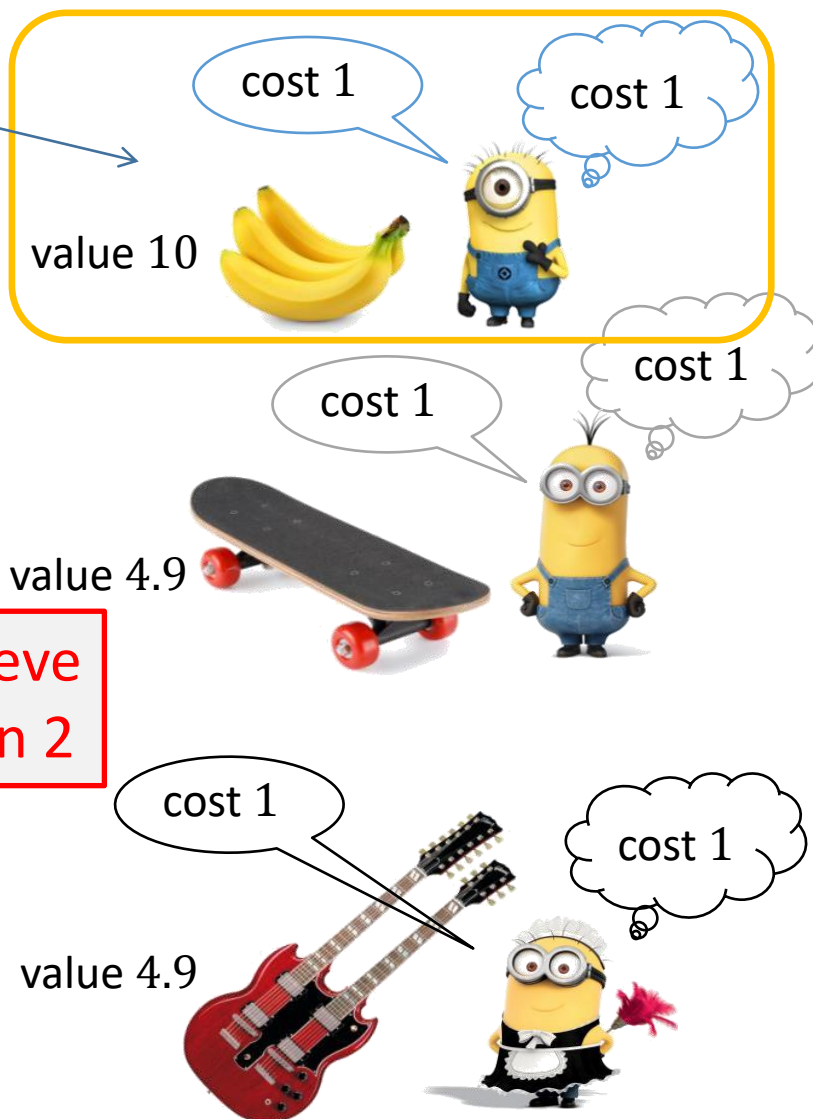
How much should he get paid?



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Impossible to achieve
a factor better than 2

Buyer with budget
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budget-feasible mechanism design

- *Single-parameter* mechanism design problem.
- Suffices to find monotone algorithms. (Myerson's lemma)
- Presence of budget makes the problem very challenging.
- Even exponential truthful mechanisms are not obvious.
- Only widely applicable approach –even for “easier” objectives– is using **a very simple greedy subroutine**.

related work – general approach

- Existing constant approximation mechanisms boil down to the following:

Output either the **best singleton** or a **greedy solution**.

- Inspired by the 3-approximation algorithm above, the greedy sorts the agents with respect to their **marginal value per cost ratio** and selects them up to a threshold.

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Output either the **best singleton** or a **greedy solution**.

- Inspired by the 3-approximation algorithm above, the greedy sorts the agents with respect to their **marginal value per cost ratio** and selects them up to a threshold.
- For non-monotone submodular objectives, this greedy approach –and many reasonable variants– **fails badly**.

our results

Main theorem: There is a polynomial-time, universally truthful, budget-feasible $O(1)$ -approximation mechanism for (non-monotone) submodular objectives in the value query model.

our results

Main theorem: There is a polynomial-time, universally truthful, budget-feasible $O(1)$ -approximation mechanism for (non-monotone) **submodular** objectives in the value query model.

- A function $v: 2^A \rightarrow \mathbb{R}$ is **submodular** if for any $S \subseteq T$ and $i \notin T$:
$$v(S \cup \{i\}) - v(S) \geq v(T \cup \{i\}) - v(T) .$$

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- In the **value query model**, we assume oracle access to v via value queries, i.e., we assume the existence of a polynomial time value oracle that returns $v(S)$ when given as input a set S .

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- In the **value query model**, we assume oracle access to v via value queries, i.e., we assume the existence of a polynomial time value oracle that returns $v(S)$ when given as input a set S .
- A randomized mechanism is **universally truthful** if it is a probability distribution over deterministic truthful mechanisms.

our results

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- The above result can be extended to the **online (secretary) setting** where the agents arrive in a uniformly random order.

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- It can be also be generalized to the setting where the feasible sets satisfy **combinatorial constraints**.

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- The above result can be extended to the **online (secretary) setting** where the agents arrive in a uniformly random order.
- It can be also be generalized to the setting where the feasible sets satisfy **combinatorial constraints**.
- For the broader class of general XOS objectives, **exponentially many queries** are needed for any non-trivial approximation.

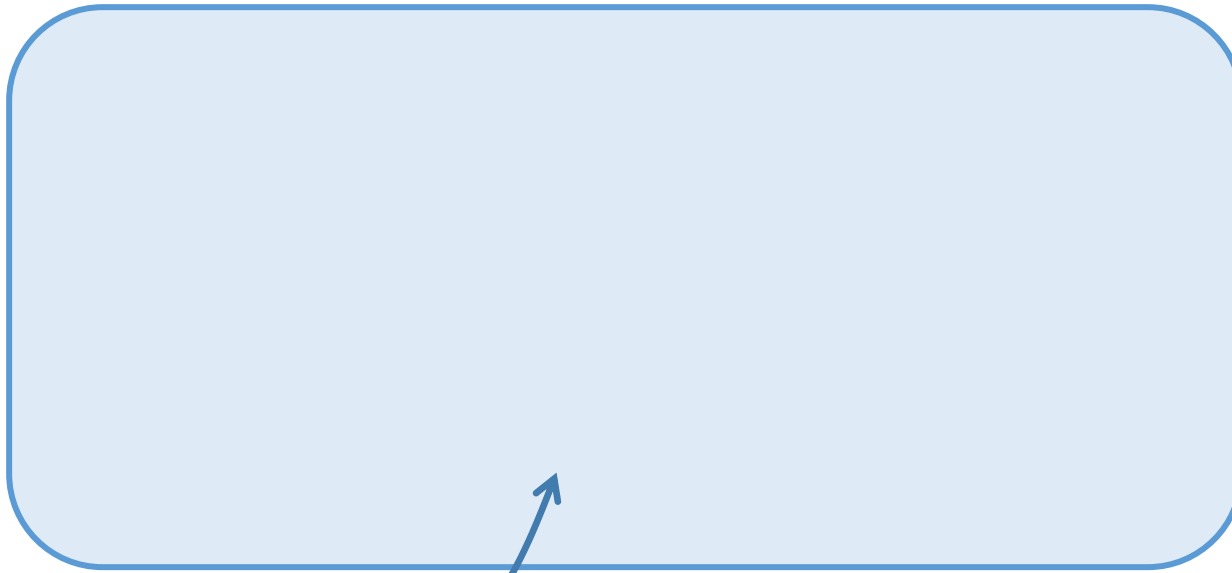
the mechanism

SUBMODULAR MECHANISM(A, v, c, B)

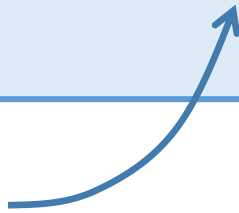
```
1  With probability  $p = 1/5$  :
2  |   return  $i^* \in \arg \max_{i \in A} v(i)$ 
3  With probability  $1 - p$  :
4  |   Put each agent in either  $A_1$  or  $A_2$  independently at random w.p.  $\frac{1}{2}$ 
5  |    $x \approx v(\text{OPT}(A_1))$ 
6  |    $S_1 = S_2 = \emptyset; B_1 = B_2 = B$ 
7  |   for each  $i \in A_2$  do
8  |   |   Let  $j \in \arg \max_{k \in \{1,2\}} v(i|S_k)$ 
9  |   |   if  $c_i \leq \frac{10B}{x} v(i|S_j) \leq B_j$  then
10 |   |   |    $S_j = S_j \cup \{i\}$ 
11 |   |   |    $B_j = B_j - \frac{10B}{x} v(i|S_j)$ 
12 |   for  $j \in \{1, 2\}$  do
13 |   |    $T_j = \text{ALG}(S_j)$ 
14 |   Let  $S$  be the best solution among  $S_1, S_2, T_1, T_2$ 
15 |   return  $S$ 
```

Key idea: simultaneous
threshold greedy algorithm

the core algorithmic idea

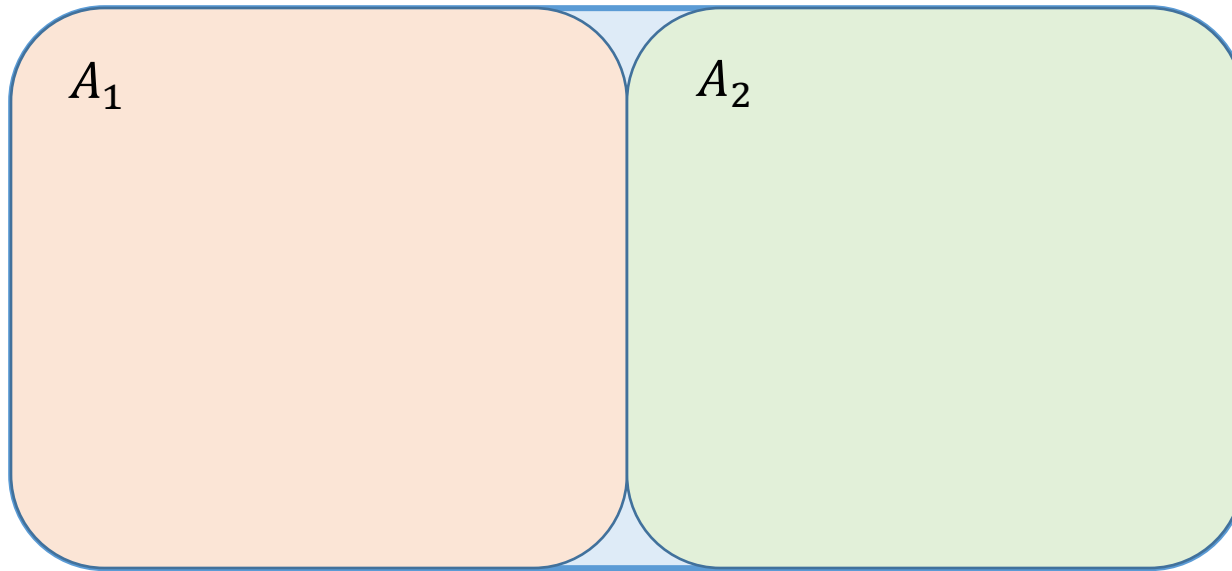


Initial set of agents A



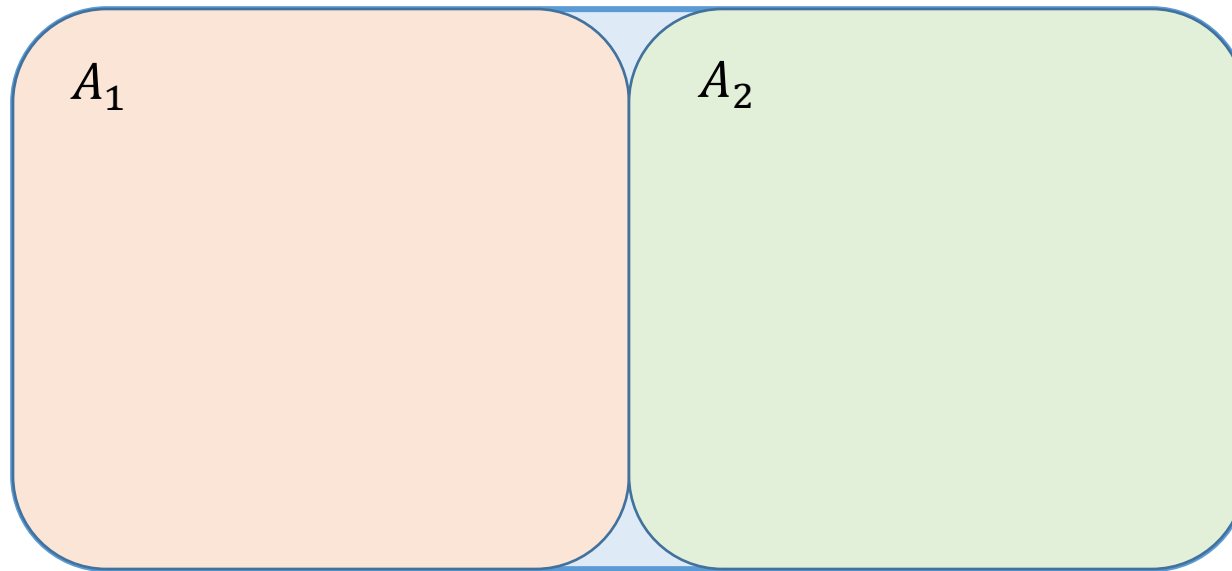
- We randomly split A into A_1 and A_2 .

the core algorithmic idea



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the core algorithmic idea



- We randomly split A into A_1 and A_2 .
- We (approximately) solve on A_1 in order to obtain a rough estimate of the optimal solution in A_2 .

the core algorithmic idea

A_2



Marginal value

$$v(i|S_j) = v(S_j \cup \{i\}) - v(S_j)$$

- We build two solutions S_1 and S_2 each with budget B (say B_1, B_2).
- We iterate through the agents once. Each i is a candidate for the solution S_j that maximizes her marginal value.
- Agent i is added to S_j if $c_i \leq 10 \frac{v(i|S_j)}{OPT(A_1)} B \leq B_j$

the core algorithmic idea

A_2

Marginal value

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- Agent i is added to S_j if $c_i \leq 10 \frac{v(i|S_j)}{OPT(A_1)} B \leq B_j$ Agent i is efficient.

the core algorithmic idea

Marginal value

$$v(i|S_j) = v(S_j \cup \{i\}) - v(S_j)$$

A_2



- We build two solutions S_1 and S_2 each with budget B (say B_1, B_2).
- We iterate through the agents once. Each i is a candidate for the solution S_j that maximizes her marginal value.
- Agent i is added to S_j if $c_i \leq 10 \frac{v(i|S_j)}{OPT(A_1)} B \leq B_j$ Enough leftover budget.

the core algorithmic idea

A_2



Marginal value

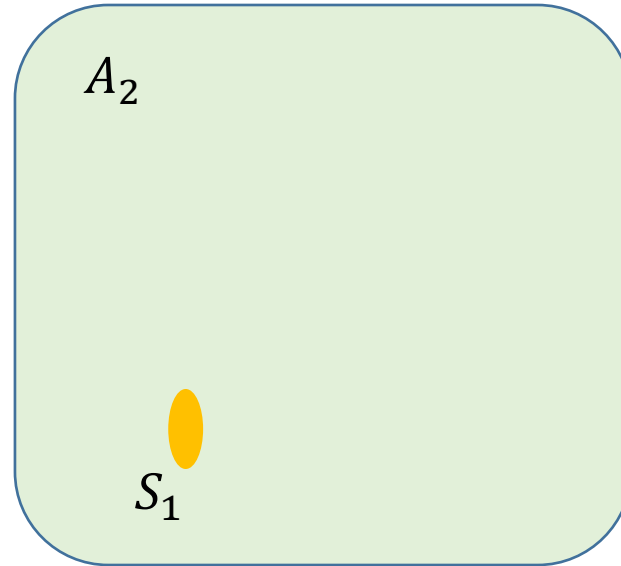
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- We build two solutions S_1 and S_2 each with budget B (say B_1, B_2).
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the core algorithmic idea

Marginal value

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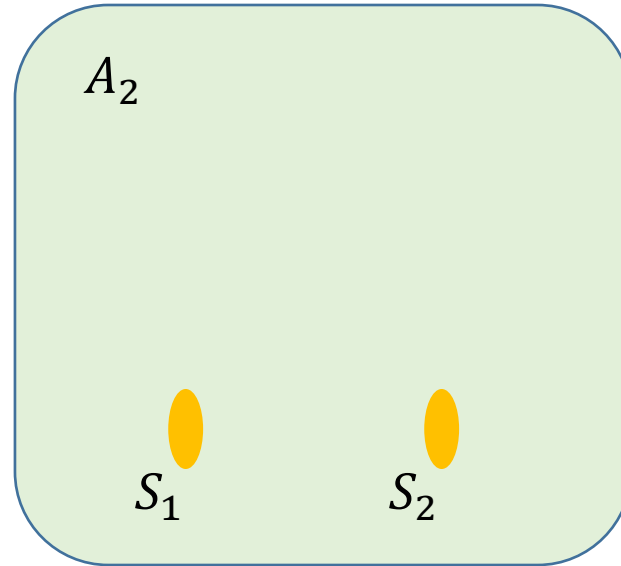


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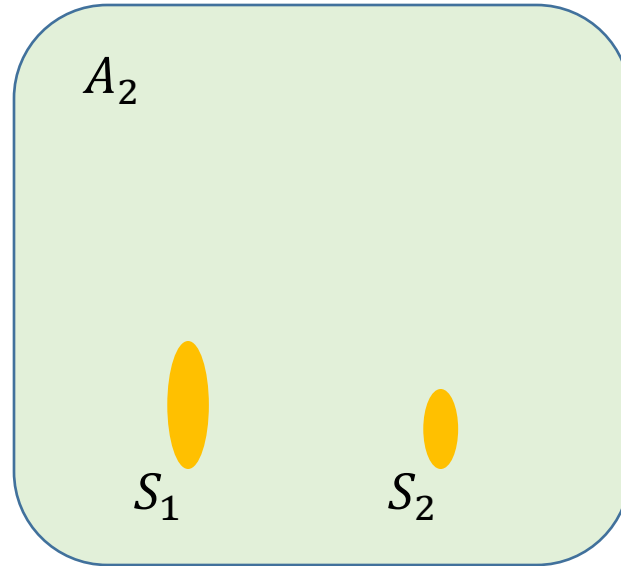


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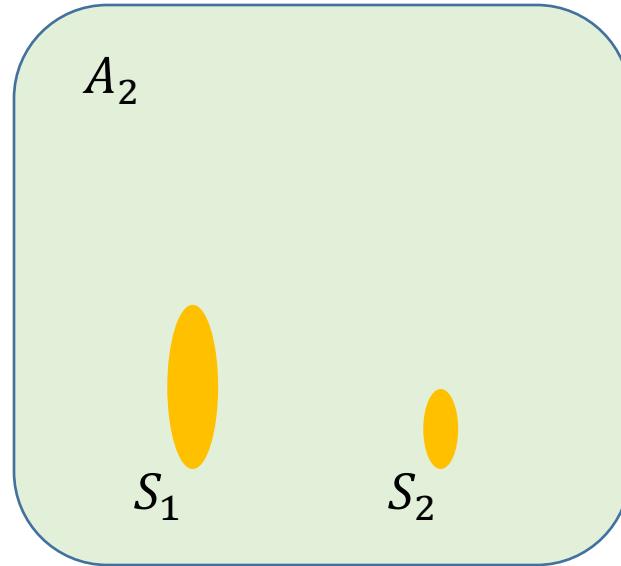


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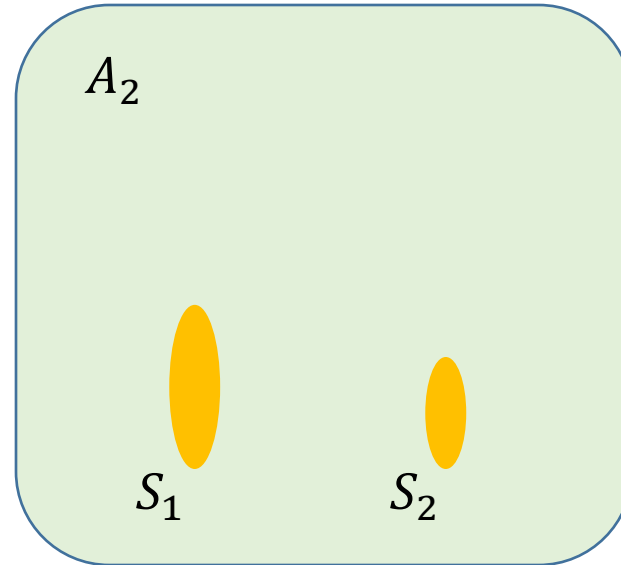


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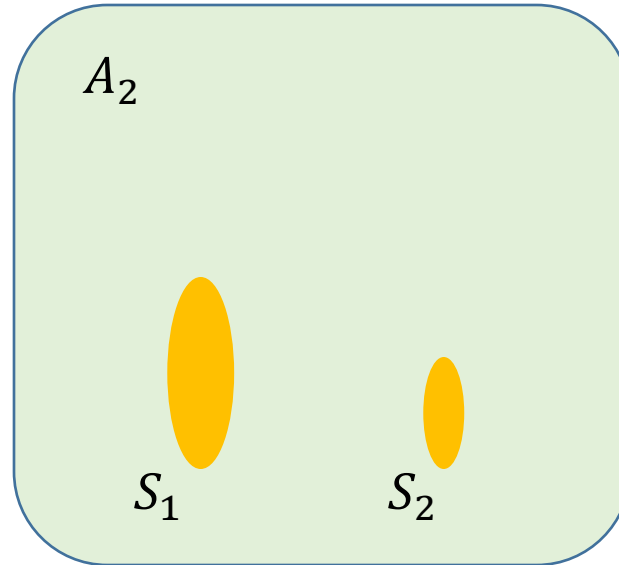


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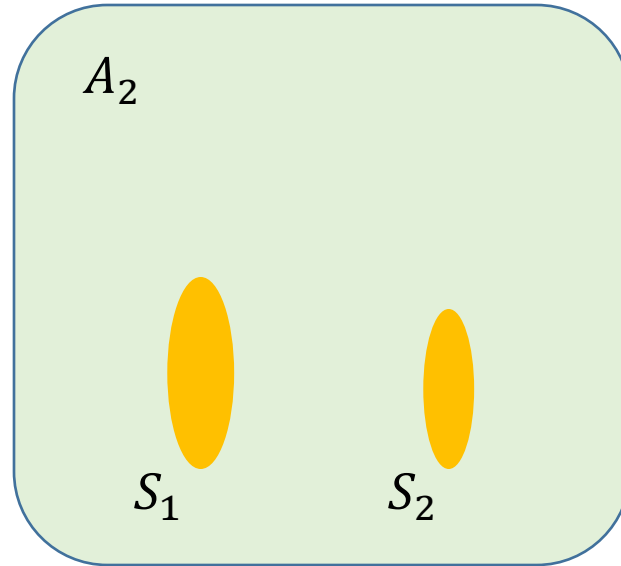


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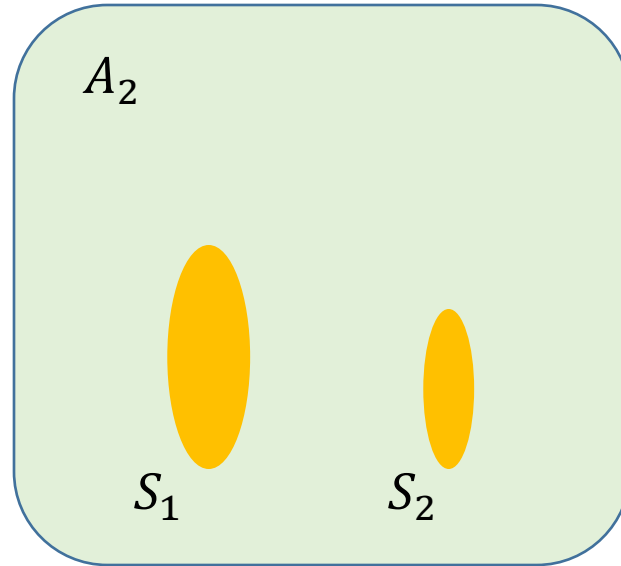


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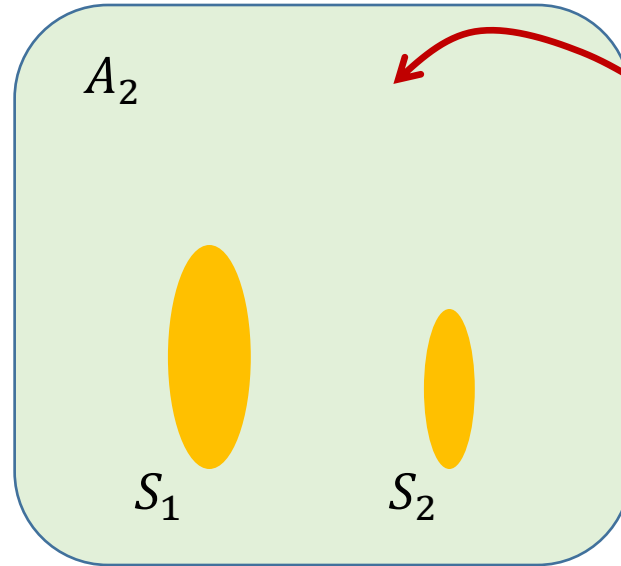


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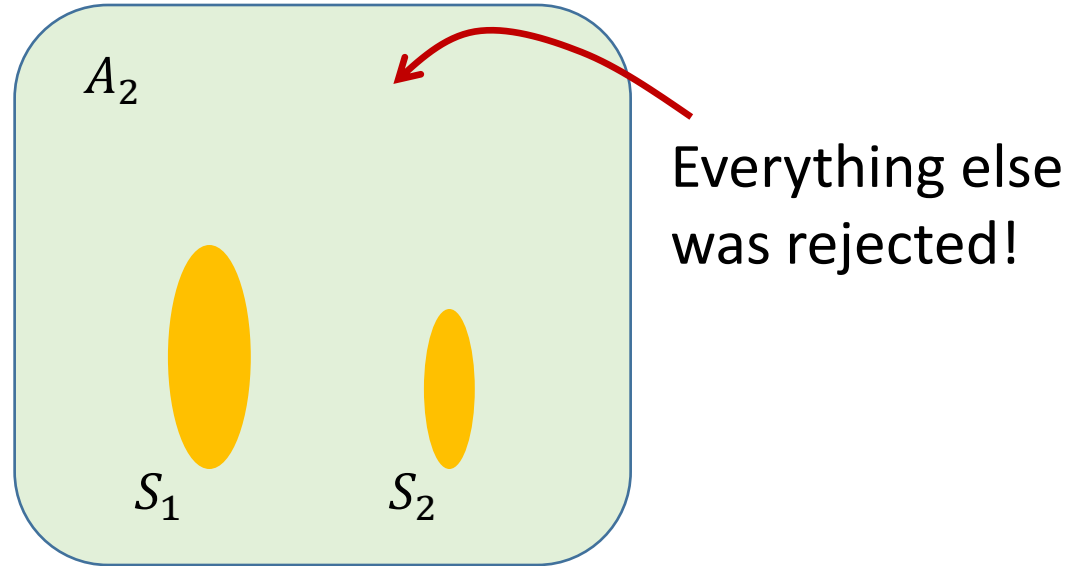
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Everything else
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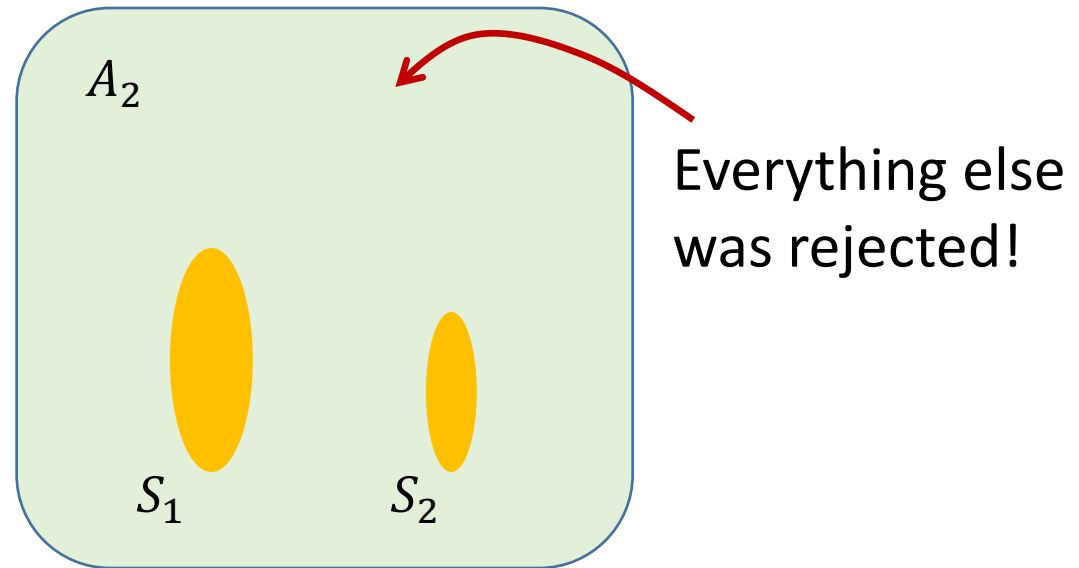
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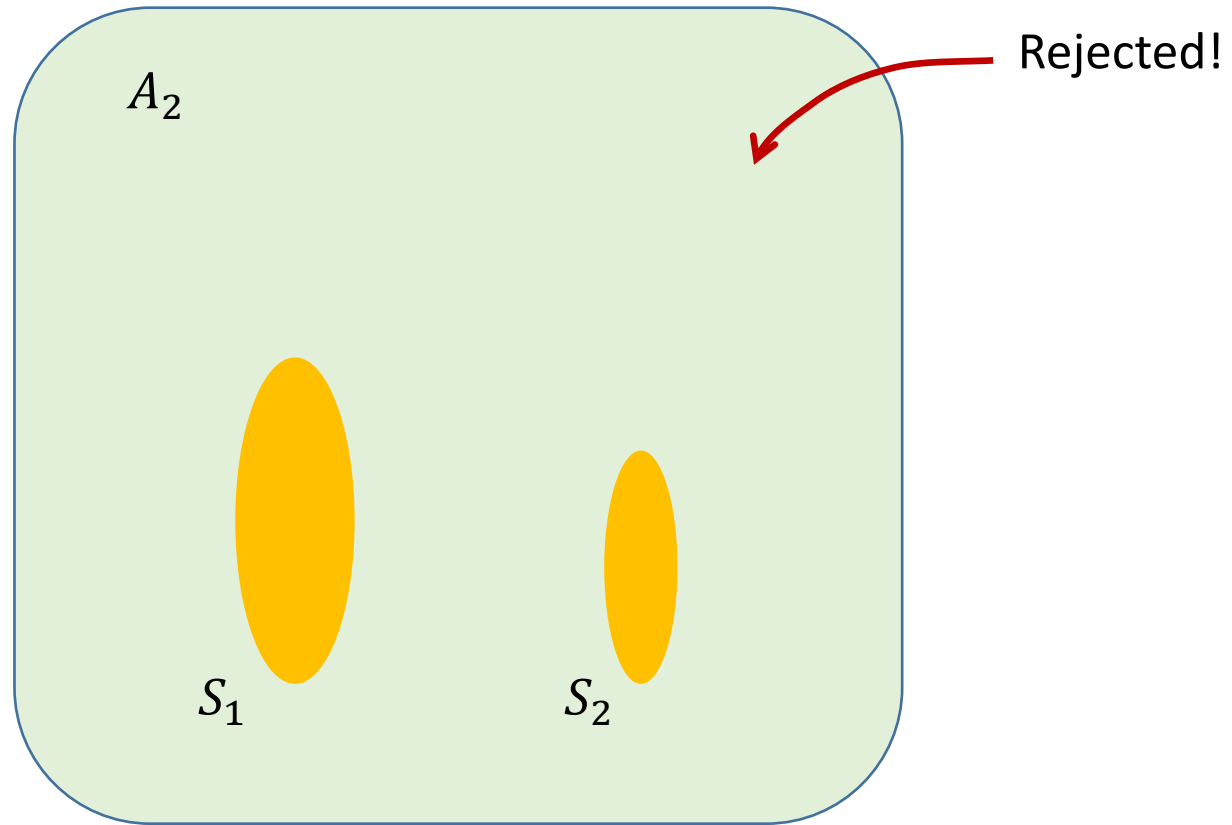
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the core algorithmic idea

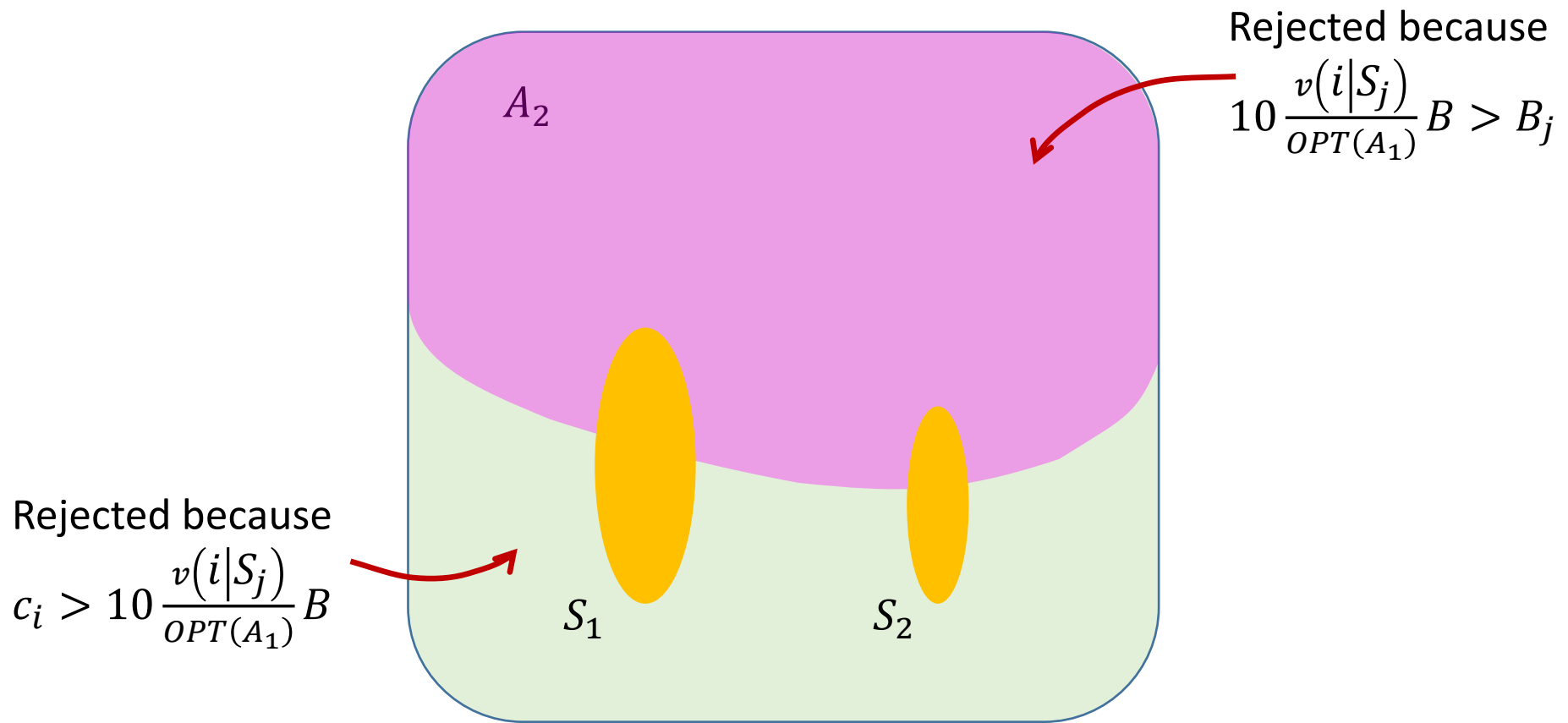


- In the end, we return the best solution contained in of S_1 or S_2
- $p_i = \frac{10B}{OPT(A_1)} \cdot (\text{marginal value of } i \text{ when added})$
- The residual budgets B_1, B_2 are defined so that both S_1 and S_2 end up budget-feasible.

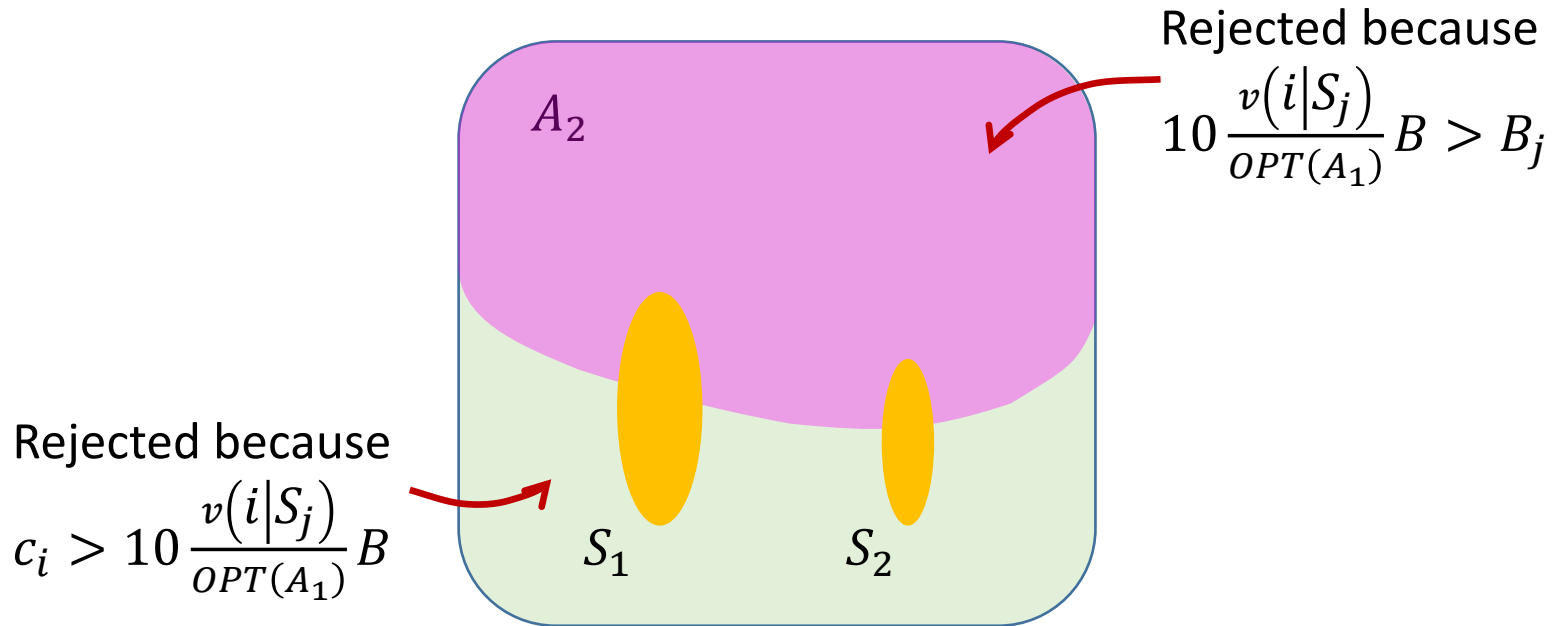
approximation ratio



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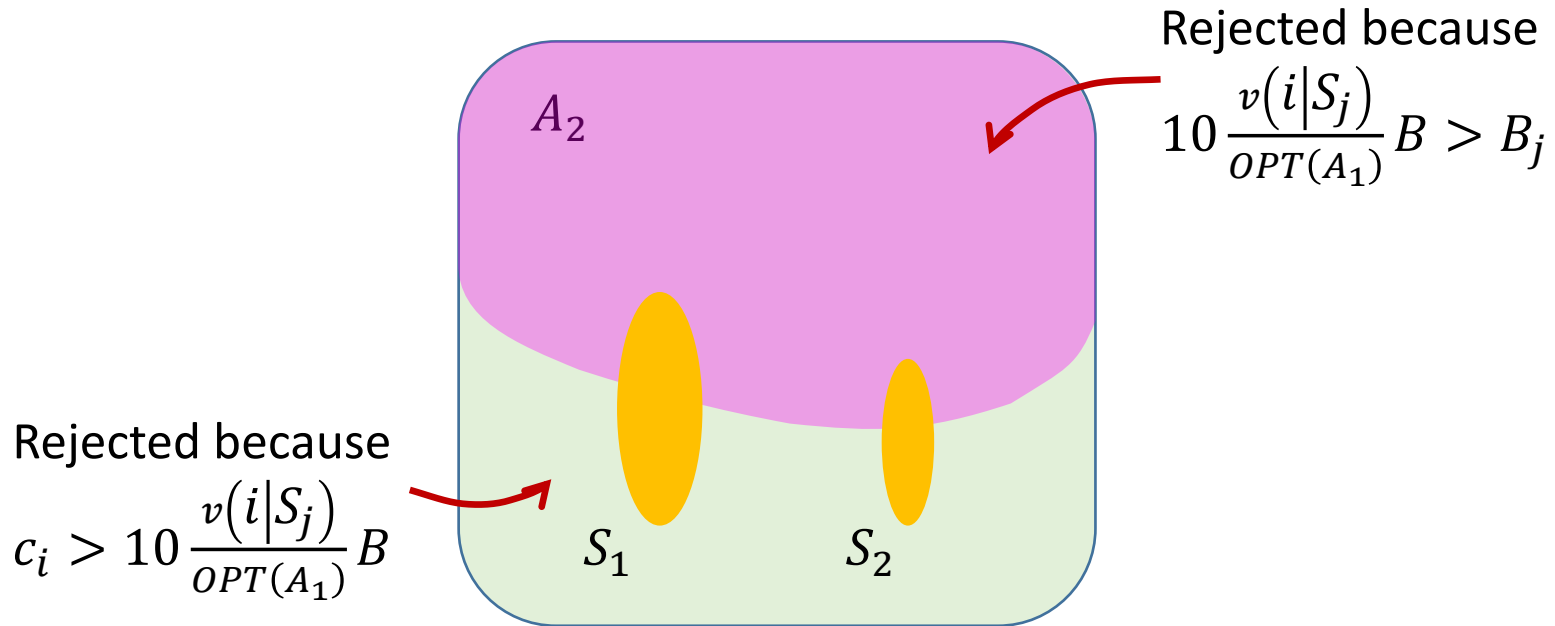


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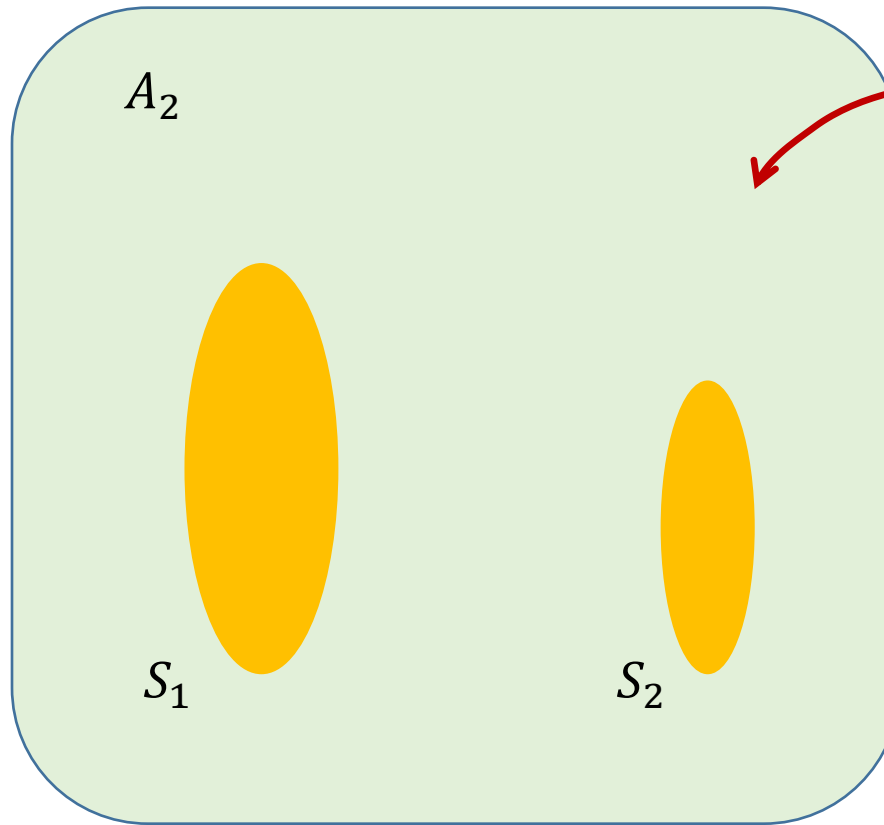
- If the purple part is non-empty then at some point we have spent most of the budget of S_1 or S_2 .

approximation ratio



- If the purple part is non-empty then at some point we have spent most of the budget of S_1 or S_2 .
- Since we spend at a rate $\approx \frac{10B}{OPT(A_1)} \leq \frac{40B}{OPT(A)}$, this means we bought value $\geq \frac{OPT(A)}{40}$. With constant probability

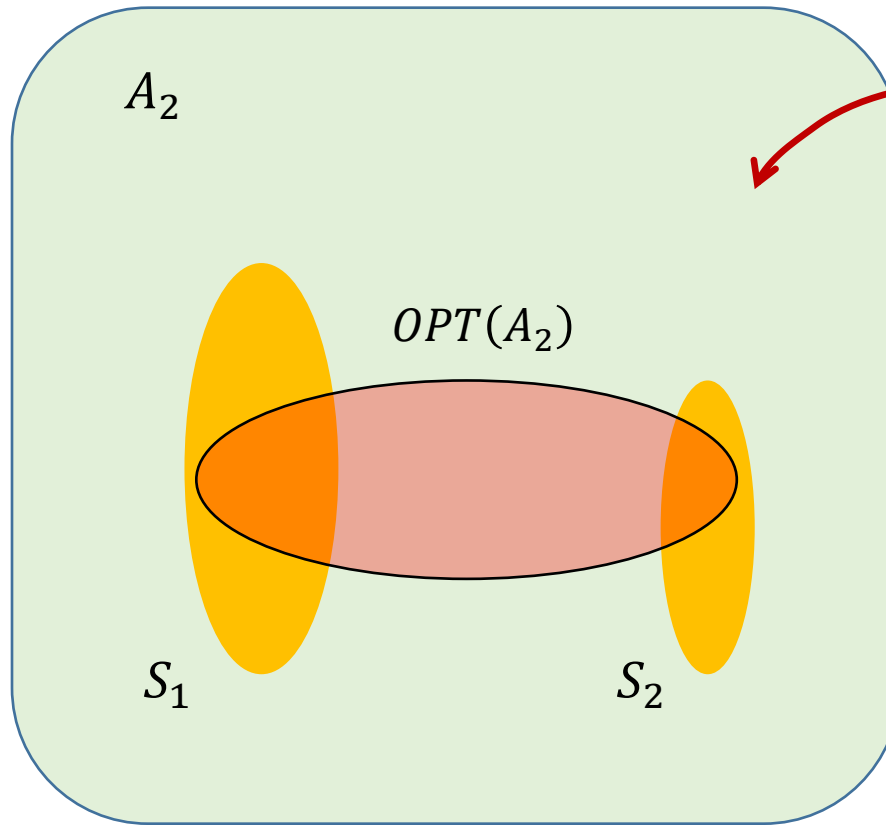
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Suppose everything
is rejected because

$$c_i > 10 \frac{v(i|S_j)}{OPT(A_1)} B$$

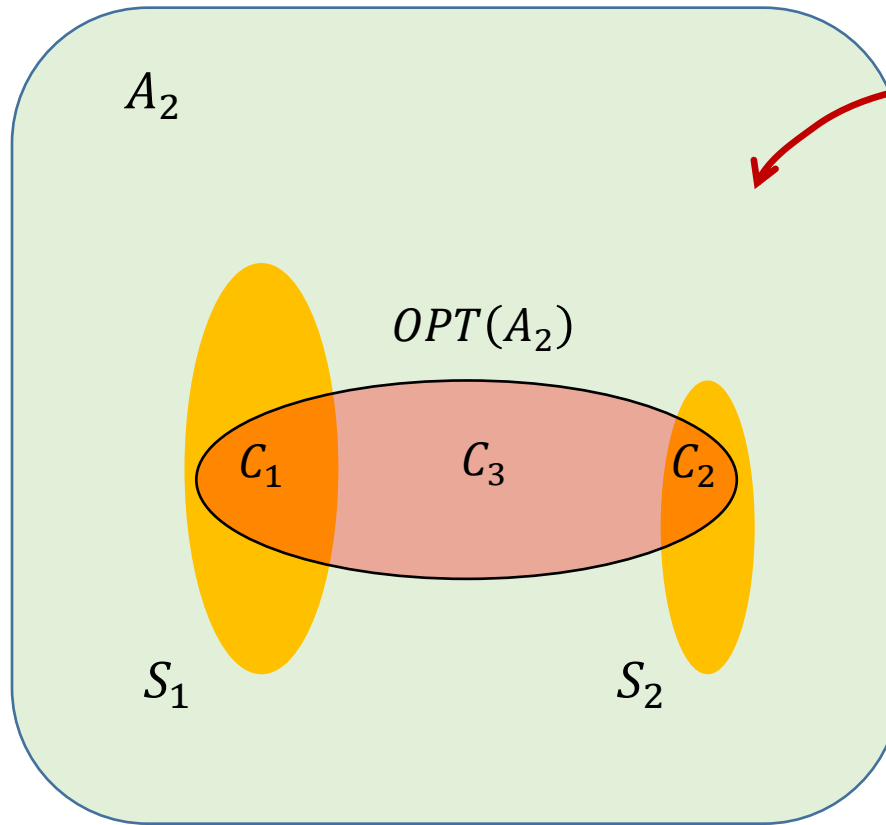
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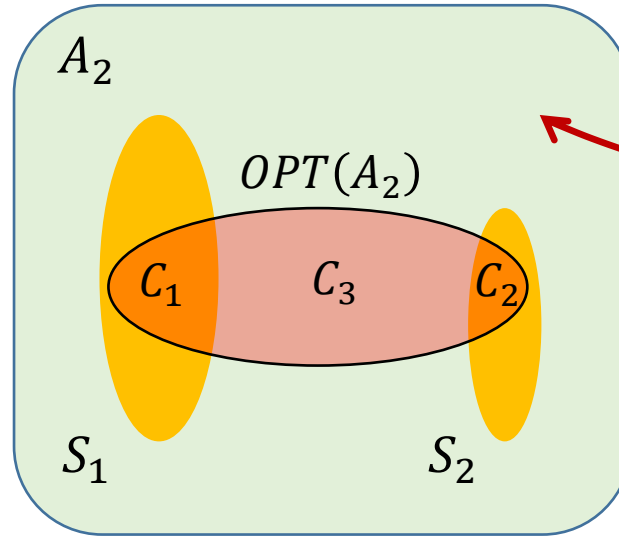
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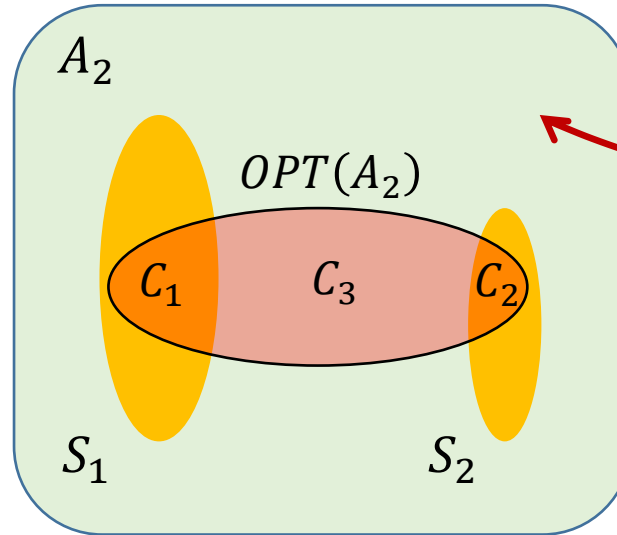
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Everything is
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$$c_i > 10 \frac{v(i|S_j)}{OPT(A_1)} B$$

- $$\frac{OPT(A)}{4} \leq OPT(A_2) \leq v(C_1) + v(C_2) + v(C_3)$$

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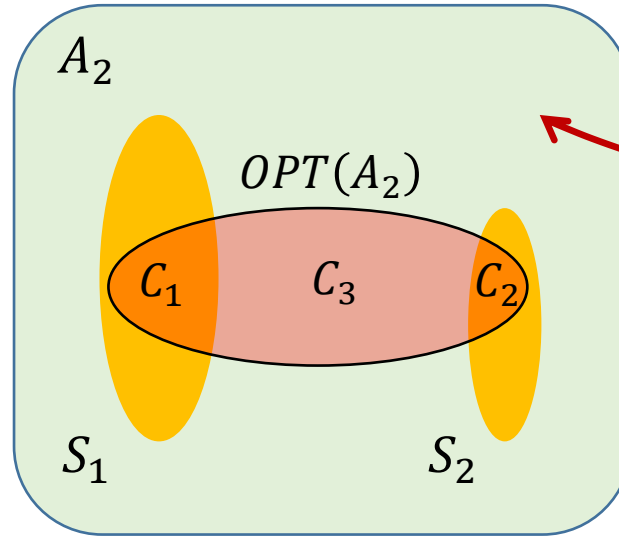


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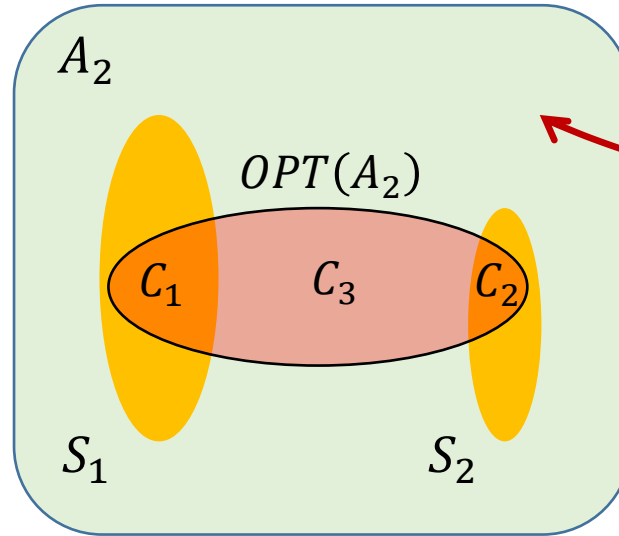
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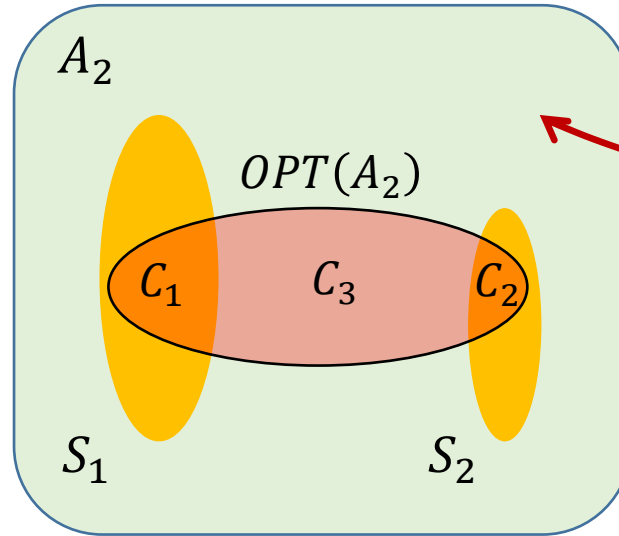


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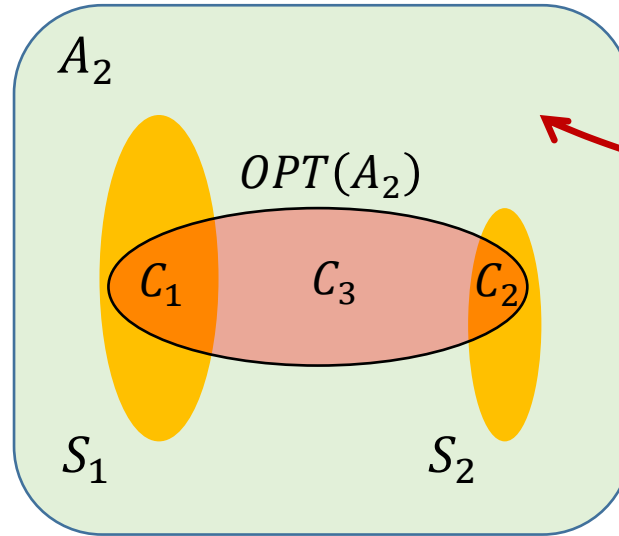


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- Again by submodularity: $v(C_3 \cup S_j) \leq v(S_j) + \frac{OPT(A)}{10}$

approximation ratio



Everything is rejected because

$$c_i > 10 \frac{v(i|S_j)}{OPT(A_1)} B$$

- Putting them together:

$$\frac{OPT(A)}{20} \leq v(C_1) + v(C_2) + v(S_1) + v(S_2)$$

- So, the (approximately) best solution contained in S_1 or S_2 is a constant fraction of $OPT(A)$.

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- Under a **large market** assumption, the ratio drops to ~ 20 .
- When tested on **real and synthetic data**, the ratio was < 2 .

directions for future work

- Is it possible to design deterministic mechanisms with the same properties?
- Can we achieve approximation guarantees close to those we know for the algorithmic counterparts of these problems?
- Better for restricted families of objectives, e.g., cut functions on directed graphs?
- Are there stronger negative results? Separation of randomized and deterministic mechanisms w.r.t. the number of queries?

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thank you!